



PhD Thesis

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Childhood cataract – effects on health and life. A cohort study

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Til min mor og Ayla

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Abbreviations

ADHD: Attention-Deficit/Hyperactivity Disorder

AKM: Arbejdsklassifikationsmodulet = Work classification module

ASD: Autism Spectrum Disorders

BEF: Befolkning = Population

BIL: Bag-in-the-Lens

CPR: Det Centrale Personregister = The Danish Civil Registration System

DIAG: Diagnosis/Diagnoses

DPI: Disposable Personal Income

IATS: Infant Aphakia Treatment Study

ICD-10: International Classification of Diseases, 10th revision

IND: Indkomst = Income

IOL: Intraocular lens

NPR: National Patient Registry

OCD: Obsessive Compulsive Disorder

PCO: Posterior capsular opacification

PFV: Persistent fetal vasculature

PSYK: Psykiatrisk = Psychiatric

SDQ: Strengths and Difficulties Questionnaire

UDDA: Uddannelse = Education

VAO: Visual axis opacification

YAG: Yttrium – aluminum -garnet

List of papers

Paper I

Children with congenital and childhood cataract require frequent follow-up visits and examinations in general anaesthesia: considerations for the strain on families

Moug Al-Bakri MD, Birgit Sander M.Sc. PhD, Daniella Bach-Holm MD, PhD, Dorte Ancher Larsen MD, Hanne Jensen MD, D.Med.Sci., Line Kessel MD, PhD.

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Paper II

Socio-economic status in families affected by childhood cataract

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Paper III

Increased incidence of mental disorders in children with cataract – findings from a population-based study

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Status: Manuscript is submitted

Danish summary (Dansk resumé)

Denne afhandling handler om børnekatarakt med særligt fokus på den betydning, sygdommen har for de berørte børn og familier. Vi ønskede derfor I) at evaluere hvor ofte disse børn skal følges og undergå fuld bedøvelse, II) at undersøge familiernes socioøkonomiske forhold samt III) at undersøge børnenes psykiske helbred.

Opfølgning af børn med katarakt kræver et langvarigt og ofte belastende forløb med amblyopi-behandling med klaptræning dagligt hjemme samt mange hospitalsbesøg med henblik på syns - og optisk rehabilitering samt screening for postoperative komplikationer, hvis børnene er opererede. Forældrene er en vigtig og uundværlig del af dette sygdomsforløb.

Paper I: Ved journalgennemgang af 227 børn opgjorde vi antal besøg og operationer/undersøgelser i fuld bedøvelse. Vi fandt, at de opererede børn i gennemsnit har 10 hospitalsbesøg i det første leveår og at 50% af de bilateralt opererede og 25% af de unilateralt opererede børn kommer i fuld bedøvelse 5 gange i de første 7 leveår.

Paper II: Ved brug af nationale registre kunne vi sammenligne den socioøkonomiske status i familier til børn med katarakt med en aldersmatchet baggrundsbefolkning. Vi fandt, at kataraktgruppen i højere grad tilhørte en lavindkomsts population med flere forældre udenfor arbejdsmarkedet, lavere uddannelsesniveau samt en anderledes familiestruktur. Den socioøkonomiske forskel var til stede før kataraktdiagnosen og blev hverken påvirket i positiv eller negativ retning efter diagnosen.

Paper III: Psykisk komorbiditet blandt børn med katarakt og en aldersmatchet baggrundsbefolkning blev undersøgt ved brug af oplysninger fra Landspatientregistret. Vi fandt en fordobling i forekomsten af psykisk komorbiditet og en 4 gange øget risiko for angst i kataraktgruppen, selv når der blev justeret for systemisk komorbiditet, forældrenes mentale helbred, socioøkonomi, barnets fødeland samt andre relevante confoundere. Risikoen for psykiatrisk komorbiditet var især høj i gruppen af børn diagnosticeret med katarakt i de første tre leveår.

Resultaterne i denne afhandling tegner et billede af en udsat population bestående af psykisk skrøbelige børn og forældre med et lavere socioøkonomisk niveau, hvilket samlet set kan påvirke deres compliance og potentielt reducere behandlingsudbyttet. Denne viden motiverer til ændringer i den kliniske tilgang til disse børn og deres familier, hvor mængden, arten og gentagelse af information må justeres i forhold til forældrenes forståelsesniveau, og hvor eksempelvis længere konsultationstid kan hjælpe til at give en bedre forståelse af sygdommen og behandlingen. Tidlig screening af psykisk sygdom kan rette fokus på mulighed for at forebygge psykiatriske følger.

Summary

This thesis focuses on childhood cataract with particular emphasis on the influence of the disease on the affected children and families. We aimed to I) evaluate how often those children are examined and how often they undergo general anesthesia, II) examine the families' socio-economic status and III) examine the children's mental health.

The follow-up of children with cataract is lifelong and can be stressful with several hospital visits aimed at visual and optical rehabilitation and screening for postoperative complications, in addition to amblyopia therapy with daily patching of the better seeing eye at home. The parents are an important and indispensable part of the disease management.

Paper I: Using medical records of 227 children, we were able to determine the number of visits and surgeries/ examinations in general anesthesia. We found that the operated children on average have 10 hospital visits in the first year of life, 50% of the bilaterally operated children and 25% of the unilaterally operated children undergo general anesthesia events 5 times during the first 7 years of life.

Paper II: By using national registries, we were able to compare socio-economic status in families with children affected by cataract with an age-matched background population. We found that the cataract group were more likely to belong to a low-income population with more parents outside the workforce and with a lower educational level and a different family structure. This socio-economic difference was present prior to the cataract diagnosis and did not change neither in a positive nor a negative direction after the diagnosis.

Paper III: Psychiatric comorbidity among children with cataract and an age-matched background population was examined using the National Patient Registry. We found a doubling in the incidence of psychiatric comorbidity and a four-fold increased risk for anxiety even after adjusting for the child's geographical birth origin, systemic comorbidity, parental mental health, socio-economic status and other relevant confounders. The risk for psychiatric comorbidity was higher in the group of children diagnosed with cataract in the first three years of life.

The results in this thesis paint a picture of a vulnerable population consisting of mentally fragile children and parents with lower socioeconomic status which can influence compliance to treatment and reduce the treatment outcome. This knowledge motivates changes to the clinical approach of these patients and their families where the amount, nature and repetition of information must be directed to the parents and child's level of understanding and where longer consultation time may contribute to a better understanding of the disease and the treatment. Early screening for mental disease may assist in avoiding overt psychiatric disease.

Introduction

Childhood cataract is rare but it is the most important cause of treatable childhood blindness worldwide ¹. Cataract refers to a lens opacity ^{2,3} that impairs visual function and if it is present at birth, it may inhibit early visual development ⁴.

The management of children with childhood cataract requires years of close monitoring of visual development and screening for complications if the children are operated, e.g. secondary glaucoma or visual axis opacification. The optical rehabilitation requires constant adjustment as the eye grows and sometimes examinations have to be performed under general anesthesia in order to ensure an optimal examination ⁵. Amblyopia therapy is often needed and requires the cooperation of the parents or often day care institutions. Thus, cataract in childhood does not only affect the children but also their immediate family as they are included in follow-up visits at hospitals and are responsible for the amblyopia treatment at home ⁶.

Childhood cataract can occur isolated or in combination with somatic comorbidities ², some of which have an association with mental disorders, e.g. trisomy 21, microcephalia and congenital infections ^{3,7-12}. Chronic disease and/or visual impairment early in life may potentially result in a higher risk of developing reactive mental disorders like anxiety, eating disorders or mood disorders and interrupt normal social interaction ^{1,6,13,14}.

Main objectives

The main objective of this thesis was to evaluate the load on children and families of children with cataract by conducting three studies specifically by evaluating:

- The amount of time spent on hospital visits and examinations and surgical procedures under general anesthesia.
- The social gradient in families affected by childhood cataract prior to cataract diagnosis compared to an age- and sex-matched groups of children without cataract and to evaluate whether the socio-economic status in cataract families was affected by the diagnosis.
- Exploring the incidence of mental disorders in children with and without cataract, considering potential confounders such as parental socio-economic status, family psychiatric load, and the children's somatic comorbidities associated with increased risk of cataract and mental disorder.

Background

Childhood cataract – definition, aetiology and epidemiology

Congenital cataract refers to a lens opacity present at birth whereas acquired cataract usually presents later in childhood. Cataract in children covers a broad spectrum of severity from visually insignificant to dense blinding cataract. They may be congenital or acquired, inherited or sporadic, unilateral or bilateral, stationary or progressive. Sometimes, congenital cataracts are associated with ocular malformations, e.g. microphthalmia or a persistent hyaloid artery. Childhood cataract can be seen in relation to systemic diseases and syndromes, congenital infections, chromosomal abnormalities or occur secondary to ocular trauma or be iatrogenic due to treatment procedures like corticosteroid use or radiation exposure ^{3,15–17}. Bilateral cataracts are often caused by genetic changes and they are also more often associated with systemic diseases while unilateral cataracts are typically related to eye malformations ¹⁸. However, in many cases a cause cannot be identified ².

The incidence of congenital cataract is 1-6 cases per 10,000 live births in developed countries ^{19–21} and 5-15 cases per 10,000 in developing countries ²². In Denmark, the 18 years cumulative incidence was estimated to be 10.8 per 10,000 children ²³. Globally, the pooled prevalence is estimated to be 4.24 per 10,000 children ²⁴.

Embryology and function of the lens

The lens is a crystalline transparent biconvex structure that converges the light rays to focus on the retina ². Embryologically, the lens is formed from ectodermal surface beginning from the 28th day of gestation and is fully developed during the 3rd gestational month ^{21,25}. Mutations in genes responsible for the lens structure during the embryological period may disturb the normal developmental of the lens and carries a high risk of visual impairment ^{26,27}. The hyaloid artery which is a branch of the ophthalmic artery is present in the optic canal and extends from the optic disc to the posterior part of the crystalline lens through the vitreous body in the first months of gestation. It is most prominent around ninth week of gestation and regresses spontaneously during the second trimester in pregnancy ²⁸. A failure in this involution may result in a persistent fetal vascularization leading to an opacification of the lens ²⁹.

Treatment and follow-up

Early detection and treatment are essential for successful management of the patients with congenital cataract as it optimizes the visual prognosis. A visually disturbing cataract that persists throughout the critical period for visual development will result in permanent deprivational amblyopia³⁰. Cataract extraction is the preferred treatment of most visually disturbing cataracts. Every 3 weeks of delay in surgery of bilateral congenital cataract during the first 14 weeks after birth leads to a loss of one line on the visual chart, and surgery after 14 weeks of age results in permanent visual disability because of deprivational amblyopia^{30,31}. However, the risk of surgical complications (e.g. secondary glaucoma, visual axis opacification) is higher when children undergo surgery early in life³²⁻³⁴. Timing of surgery is thus a balance between visual development and long-term complications that may potentially be blinding³⁵.

Surgery is performed under general anesthesia and several surgical approaches exist to remove the opaque lens from the visual axis. The child may have the optical power of the natural lens replaced by implantation of an intraocular lens (IOL) or may be left without a lens (aphakia). IOL implantation in children below the age of 6 months can be associated with an increased risk of visual axis opacification^{34,36}. The visual outcomes may not significantly differ if IOL implanted or not in bilateral and unilateral cataracts^{34,37-40}.

Early and late surgical complications

Surgery for cataract in childhood is associated with a risk of early and late postoperative complications including secondary glaucoma, visual axis opacification, amblyopia, IOL subluxation, endophthalmitis and retinal detachment^{2,31,41}.

Secondary glaucoma is an important and serious postoperative complication after pediatric cataract surgery with an incidence that varies from 5.8 % - 32% after follow-up time ranging from 0 year to 25 years after primary cataract surgery⁴²⁻⁵⁴. Children with secondary glaucoma have worse visual outcomes⁵⁵ and often have to undergo additional treatments^{56,57}. Several risk factors (young age at surgery, microcornea, cataract morphology, shorter axial length) are associated to secondary glaucoma development after cataract surgery in pediatric population^{35,43,44,58-60}. Identification of the associated risk factors may help to monitor the children and provide treatment in time. The incidence of secondary glaucoma has been suggested to be lower in children with IOL

implantation compared to children who are left aphakic ^{61–64} whereas others have reported no difference in glaucoma risk in children with or without IOL implantation ^{33,45,65}.

Visual axis opacification (VAO) also called posterior capsular opacification (PCO) is a frequent complication after pediatric cataract surgery ⁵⁸. It occurs as a combination of processes including proliferation, migration, and trans-differentiation of residual lens epithelial cells spreading to the visual axis ². Visual axis opacification can be removed by YAG laser capsulotomy ⁶⁶ performed as an out-patient procedure in older and cooperative children. In younger children, general anesthesia and surgical removal is usually required. It has been shown that VAO can be prevented in approximately 90% of children operated for cataract by using IOL designed to place the anterior and posterior capsules between two elliptical plane haptics, Bag-in-the-Lens (BIL) ⁶⁷, see **Figure 1**.

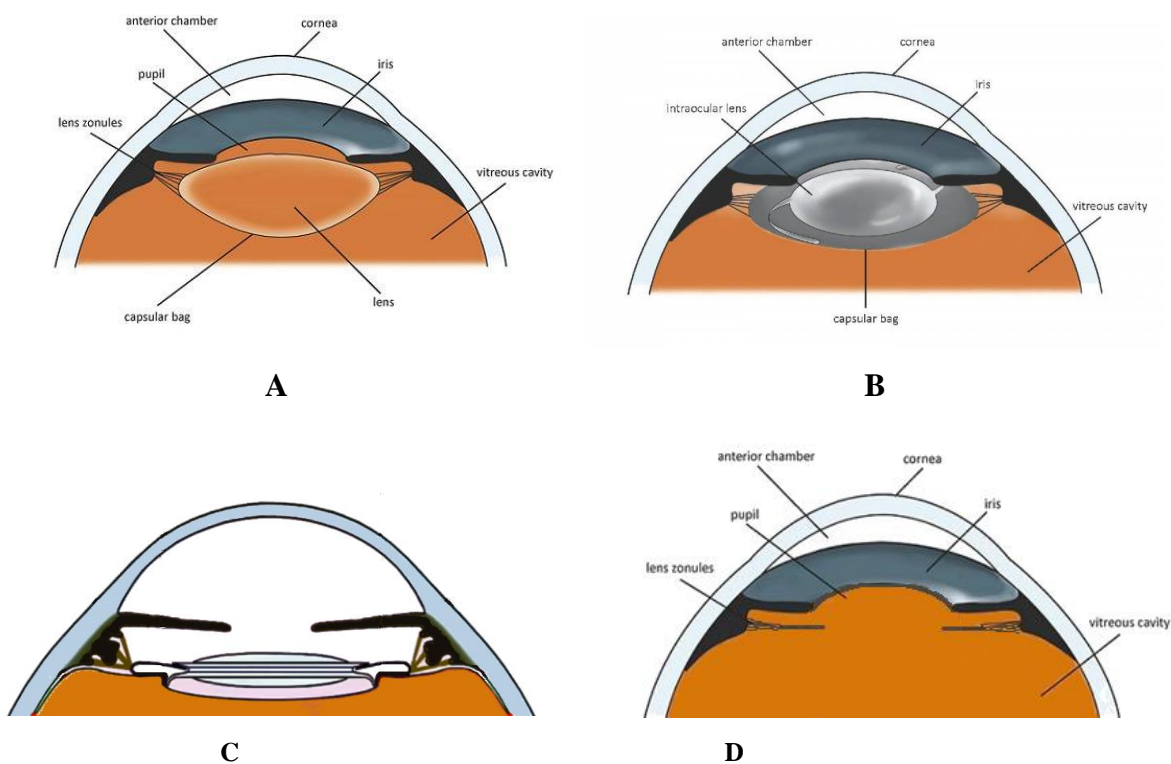


Figure 1: Cross sectional illustrations demonstrating a natural human lens (A), IOL implant with radiating two haptic arms insert within the capsule bag (B), Bag-in-the-lens implant with two elliptical plane haptics placed in between the anterior and posterior capsules in the periphery (C) and aphakia with absence of lens and implant (D).

References: Fig. A: <https://sen842cova.blogspot.com/2020/04/basic-eye-anatomy.html> Fig. B:

https://retinavitreous.com/treatments/dislocated_iol.php Fig. C: Inspired from a figure presented by Dr. Tassignon at a virtual meeting arranged by Danish Ophthalmological Society in December 2020. Fig. D: Inspired from fig. A and B with own changes.

Amblyopia occurs when an impaired visual stimulation early in life results in suboptimal development of the visual pathways in the brain ². In this context, it may happen when retina receives a defocused image due to a cataractous lens resulting in visual stimuli deprivation at the time when the central visual pathways are forming. Early diagnosis and appropriate treatment are therefore essential in preventing poor visual outcomes. Even if the child is operated for cataract, amblyopia treatment may be necessary (most often if the cataract is unilateral). The treatment includes patching of the better seeing eye to improve vision in the poorer seeing eye. The amblyopia treatment is handled by the parents and also often by day care institutions. The compliance with occlusion therapy for amblyopia can be a challenge due to logistical and emotional difficulties ^{68,69}.

Bacterial endophthalmitis is a rare but severe early complication with poor visual prognosis ⁷⁰. Different risk factors are associated to development of postoperative endophthalmitis, but prophylactic antibiotic treatment may minimize this risk ⁷¹.

IOL can spontaneously subluxate and can require surgical repositioning ².

Retinal detachment is a serious complication ⁷² with an incidence of 1.5% 5 years after surgery ⁷³.

Outcomes after surgery for childhood cataracts

Outcomes after surgery for childhood cataract has been reported by several publications ^{33,37,38,40,45,57,65,74}.

So far only one randomized trial has been reported, which is The Infant Aphakia Treatment Study (IATS). 110 children with visually significant unilateral cataract operated at 1-6 months of age were randomized to IOL implantation or aphakia. The exclusion criteria were e.g. corneal diameter < 9mm, intraocular pressure \geq 25mmHg or retinal disease. The study has 10 years of follow-up. They found no significant difference in glaucoma risk by the age of 5 ⁴⁵ and 10.5 years ³³ or visual acuity graded at 1 year ⁴⁰ and 10.5 ⁷⁴ years of age in children with or without IOL implantation but suggested that surgery at younger age increased the risk of glaucoma ⁴⁵. They also found a higher risk of visual axis opacification in pseudophakic than aphakic eyes ⁶⁵.

IoLunder2 was a prospective observational cohort study evaluating 235 uni-, - and bilateral operated children at the age of 0-2 years who were followed in 1-5 postoperative years. They found no significant difference in the risk of secondary glaucoma development^{37,38} or in visual acuity measured 5 years after surgery³⁷ in children with or without IOL implantation. In their study, visual axis opacification was more common in IOL group compared to aphakia group³⁷.

Mataftsi et al. 's study was both based on raw data of infants included in other published studies and on data meta-analysis of results in published studies. 470 children with uni-, - and bilateral cataract operated at 3 months (median) of age were included. The study has in median 6 years of follow-up. They found a lower risk of glaucoma in children with primary IOL implantation, but surgery at younger age and additional procedures independently increased the risk of glaucoma⁵⁷.

Management of childhood cataract in Denmark

In Denmark, the treatment of pediatric cataracts (≤ 6 years of age) is a highly specialized function that since 2010 has been managed by surgical centers at two sites: Rigshospitalet in Copenhagen and Aarhus University Hospital in Aarhus, with three surgeons operating all children. Surgically, the children are operated with a standard cataract procedure. If children are evaluated to not being able to cooperate to a potential later YAG laser capsulotomy, a posterior capsulorhexis with an anterior vitrectomy is performed peroperatively. Traditionally, children below < 6 months of age are left aphakic while children older than 6 months have an intraocular lens (IOL). Postoperatively, the children are followed by a dedicated team of surgeons, pediatric ophthalmologists, optometrists and orthoptics at day 1, week 1, month 1, month 3 and every 3 months to the age of 3 years and every 6 months until 10 years of age. Control intervals are adjusted according to the specific needs of the child, e.g. contact lens use or amblyopia therapy. After 10 years of age, the children are recommended lifelong follow-up in the primary care sector by an ophthalmologist and optometrist.



Figure 2: Different techniques are used to examine the children before and after the cataract extraction. Pictures are used with parental permission.

Contact lenses are the preferred optical correction in children with aphakia and unilateral IOLs with high anisometropia but may not always be possible. From the age of 12 to 18 months, children are fitted with bifocal glasses. The government provides financial assistance to the costs of spectacles and/or contact lenses.



A



B

Figure 3: Applying contact lens (A) in an infant. An older child using bifocal glasses (B). Pictures are used with parental permission.

Occlusion therapy for amblyopia is prescribed when needed but all infants with unilateral congenital cataract are prescribed full-time occlusion every other day which is later reduced depending on treatment response.

The role of the family in the management of childhood disease

Parents make decisions on management of disease on behalf of their children ⁷⁵ and their awareness of the child's eye symptoms and knowledge of the disease, including amblyopia, are essential in ensuring compliance with therapy and disease management ⁷⁶. Parents with lower socio-economic status including lower educational level typically demonstrate lower health seeking behaviour ⁷⁶ which may potentially affect the management and treatment outcomes.

The family and in particular the parents play a crucial role in the management and treatment of pediatric cataracts. The journey starts at the time of the diagnosis, sometimes at birth, and continues for years, with numerous visits to the eye department for check-ups and visual training through patching, glasses and/or contact lenses which is demanding for the parents/carers ^{6,77,78}. The parents are considered to be the child's source of protection, and their positive engagement may contribute to a feeling of security in the child ⁷⁹ and thus may help to relieve the load of the management and treatment course ⁸⁰.

Effects of chronic disease on childhood development

Early childhood is a critical period for cognitive, linguistic, emotional, social, and behavioural development ⁸¹. Chronic diseases in childhood may disturb the child's development as it can induce restrictions, insecurity, distress, lower self-care and lower social integration ^{82,83}. Children with one or more chronic diseases are at an increased risk of being vulnerable in several developmental fields like physical wellbeing, social competence, emotional maturity, communication skills, language and cognitive skills when compared to children without a chronic disease ⁸³. Chronic disease may lead to distress in children which can reduce their adherence to a recommended treatment ⁸⁴. Visual impairment can have an impact on the child's participation in daily life. Children with early severe visual impairment or blindness may experience social and cognitive difficulties ^{85,86} with higher risk of developing anxiety and depression ⁸⁷⁻⁸⁹. To date, the effect of childhood cataract on the affected child's development is unknown.

Effects of chronic childhood disease on mental health

Children who suffer from chronic somatic illness may have a higher risk of mental health conditions due to the limitations set by a chronic disease course on activities that are important for psychological and social development in childhood and pre-adolescence ⁹⁰. Chronic somatic

diseases like diabetes mellitus type I, cerebral palsy, epilepsy and cancer have previously been associated with an increased incidence of mental conditions in children including mood disorders, anxiety, autism spectrum disorders (ASD) or attention deficit/hyperactivity disorders (ADHD) ^{91–96}.

The incidence of mental disorders is estimated to 15-20% of the general pediatric population in developed countries ^{97,98}. In Denmark, 15% of the general pediatric population is diagnosed with a mental disorder before the age of 18 ⁹⁹.

Mental disorders can be classified into neurodevelopmental disorders and other disorders including emotional/affective disorders. Neurodevelopmental disorders include intellectual disability, unspecific neurodevelopmental delay disorders, autism spectrum disorders (ASD) and attention deficit/hyperactivity disorders (ADHD) and have a peak incidence in children before 10 years of age ^{99,100}. They share risk factors like genetic features, pre-and perinatal difficulties, male preponderance and manifestation of neurocognitive deficits ¹⁰¹. The other disorders (anxiety, schizophrenia, mood disorders, obsessive compulsive disorder (OCD) and eating disorders) show an increased incidence after the age 5 ^{99,102–105} and can be affected by environmental factors like parental like distress ¹⁰⁴ and parental chronic disease ¹⁰⁶.

Materials and Methods

Study population

Children diagnosed with any type of cataract before the age of 10 in 2000-2017 were included while children with other types of lens anomalies, including subluxation, posterior lenticonus and persistent prepupillary membranes without lenticular opacities, were excluded.

Paper I: We included all children born from 2000 to the beginning of 2017 and diagnosed with cataract before 10 years of age at the Department of Ophthalmology at Rigshospitalet, Copenhagen. Information about the study population was extracted through medical records. Children who had undergone surgery outside Rigshospitalet, were excluded, see **Figure 4**.

Paper II and III: We included all children born from January 1, 2000 to December 31, 2017 and diagnosed with cataract before 10 years of age at the Department of Ophthalmology at Rigshospitalet, Copenhagen or Aarhus University Hospital, Aarhus. Children with incomplete data were excluded, see **Figure 4**. Each child with cataract was matched with 10 children without cataract (sampled by Statistics Denmark) by age at cataract onset, sex and municipality. The study population was uploaded to a Statistics Denmark server through a secure and encrypted platform allowing us to access information about diagnoses at public hospitals and parental socio-economic status presented through different national registries by Statistics Denmark (see “*Registries*”).

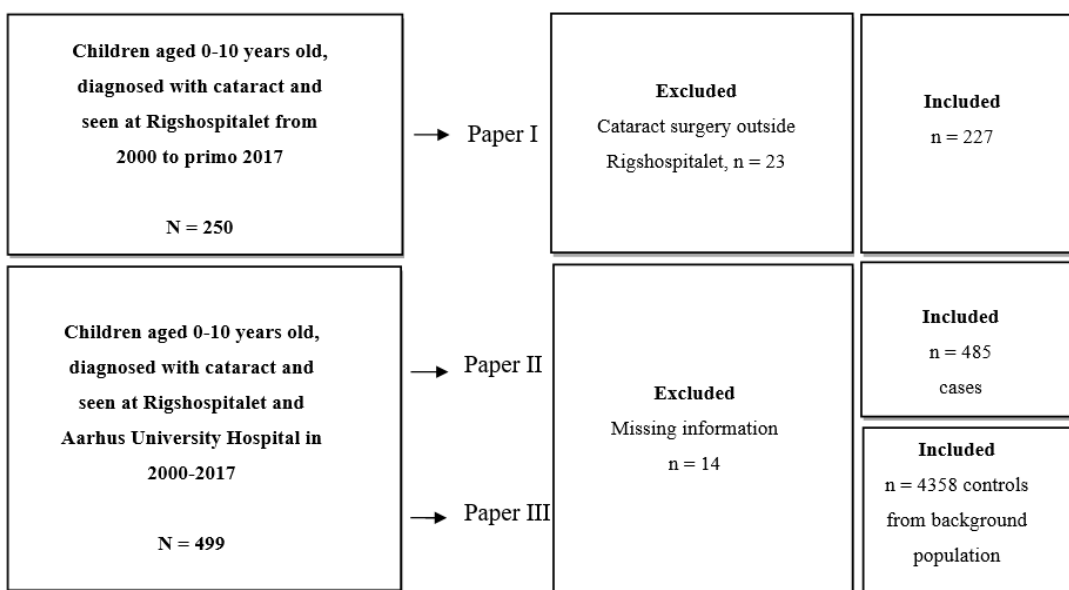


Figure 4: Flow chart showing inclusion and exclusion of the study population in the three papers.

Registries

Paper II and paper III were based on the national Danish registries available from Statistics Denmark.

The *National Patient Registry* (NPR) contains information about diagnostic and procedural ICD-10 codes on all somatic and psychiatric contacts to public hospitals. It was used to extract registered psychiatric and somatic diagnoses in children. In addition, we extracted information on parental mental disorders from NPR.

The *Population Registry* was used to obtain information about sex, civil status and geographical birth origin and parental socio-economic status. Data about parental work status was extracted from *AKM registry* (*work classification module*). Parental highest obtained educational level was extracted from *UDDA registry* (*education registry*). Information on parental income was attained through the *income registry*. See **Table 1** for overview and details. Entries between registries are linked to individuals by a unique number assigned to all Danish residents, The Danish Civil Registration System (CPR) number, allowing combination of information between registries and between children and their parents.

Name	Registry	Description	Full name of registry
Sex	BEF registry	Gender of the included population extracted from BEF registry (population registry)	BEF = Befolkning
Age at cataract onset	NPR + BEF registry	The date of cataract diagnosis was extracted from NPR (The National Patient Registry) and calculated based on birth date in BEF registry (population registry)	NPR = The National Patient Registry+ BEF = Befolkning
Geographical birth origin	BEF registry	Geographical birth origin is the place where the child was born extracted from BEF registry (population registry)	BEF = Befolkning
Number of siblings	BEF registry	Number of children in a household extracted from BEF registry (population registry)	BEF = Befolkning
Children's mental disorders	PSYK_DIAG_NPR	Children's mental disorders diagnosed at public hospitals extracted from NPR (The National Patient Registry)	Psyk_diag_NPR= The National Patient Registry with focus on psychiatric diagnoses
Children's systemic diseases	LPR_DIAG	Children's systemic disease diagnosed at public hospitals extracted from NPR (The National Patient Registry)	NPR = The National Patient Registry
Parental civil status	BEF registry	Parental civil status extracted from BEF registry (population registry)	BEF = Befolkning
Parental income	IND registry	Parental income extracted from IND registry (income registry)	IND = Indkomst
Parental work status	AKM registry	Information about parental work status extracted from AKM registry (work classification module)	AKM = Arbejdsklassifikationsmodul
Parental education	UDDA registry	Information about parental education extracted from UDDA registry (education registry)	UDDA = Uddannelse
Parental mental disorders	PSYK_DIAG_NPR	Parental mental disorders diagnosed at public hospitals extracted from NPR (The National Patient Registry)	Psyk_diag_NPR= The National Patient Registry with focus on psychiatric diagnoses

Table 1: Description of the used variables and registries.

Outcome variables

Paper I – Number of hospital visits and examinations/surgical procedures performed under general anesthesia were extracted as primary outcome variables. The outcomes were measured and cumulated for the ages of 1, 3, 5 and 7. Examination in combination with a surgical procedure was defined as surgical procedure, otherwise it was counted as examination under general anesthesia. The effect of IOL implantation on the number of hospital visits and general anesthesia events was evaluated as secondary outcome.

Paper II - The socio-economic variables (child's geographical birth origin and number of siblings, parental civil status, parental education, parental workforce and parental income) were extracted one year prior to cataract onset, 1 year and 3 years after, see **Table 2**.

Paper III - The incidence and type of mental disorders were evaluated using ICD-10 diagnostic codes (F00-F99 and R41.8, R62.0, R62.9) given to the child during the first 10 years of life. The codes for unspecific developmental delays (R41.8, R62.0, R62.9) are typically used in young children with attenuated and unspecific symptoms of developmental delays ¹⁰⁰. Mental disorders were grouped into neurodevelopmental disorders and other mental disorders as neurodevelopmental disorders show a different pattern of risk associations compared to the other emotional mental disorders ¹⁰¹. A child could be diagnosed with different mental disorders but in the analysis of overall incidence of any mental disorder, each child could be counted only once. The cataract population was sub-grouped into an isolated cataract group and a group of cataract children with severe somatic diseases. Some of the somatic diseases were grouped as disease confounders since the disease itself or its treatment was estimated likely to increase both the risk of cataract and mental disorders. In addition, analyses were adjusted for parental socio-economic status (civil status, income and employment status) and parental mental disorders (DF00-99 for one or both parents) since mental disorders are more prevalent with lower socio-economic status ^{107,108} and as we in paper II observed that children with cataract were more likely to come from socio-economically challenged families ¹⁰⁹.

Outcome variable	Definitions, classifications and details
Geographical birth origin	Children not born in Denmark were grouped into Europe, Middle East, Africa and Far East.
Income	<p>Household financial resources based on the disposable personal income (DPI)/adult over a full calendar year. The DPI is the amount of money that a household has available for saving and spending after it taxes have been deducted.</p> <p>For cohabiting parents: Average DPI in the household was used. For separated parents and parents living apart: The highest DPI was used.</p> <p>We analyzed the income by two dichotomizations: ≤ 99.999 DKK/year versus ≥ 100.000 DKK/year and ≤ 499.999 DKK/year versus ≥ 500.000 DKK/year.</p>
Employment status	Family was defined as unemployed if both parents were registered as unemployed for up to six months, received unemployment benefits, had retired early or if they were students. The family was defined as employed if one of the parents was registered with work.
Education	<p>The education was defined as the highest attained education between the parents.</p> <p>Education was grouped into: Primary education (up to 9th grade), high school, vocational (technical) education, short-term higher education programs (e.g. diploma programs), medium-term higher education programs (e.g. professional bachelor programs such as nursing and teaching), bachelor and long-term higher education programs (e.g. bachelor programs at universities, graduate programs and PhD programs).</p> <p>We analyzed the education by two dichotomizations: <i>Basic education</i>; where parents had completed the compulsory 9 years of schooling versus education higher than basic education. <i>Advanced education</i>; where parents had completed a university degree education (bachelor and long-term higher education) versus education lower than advanced education.</p>
Number of siblings	Number of persons ≤ 24 years of age without children of their own, living in the household with minimum one adult registered. The child with cataract was subtracted.
Family structure	<p>A household includes all persons living at the same address. Multi-family residential was used to describe when more than one family lived at the same address.</p> <p>The civil status was divided into two groups: single and not single (married, cohabiting couple & multi-family residential).</p>

Table 2: Overview of socio-economic variables included in paper II.

Statistical methods

Statistical analyses were made using the Sigmaplot version 13.0 and SAS version 9.4. in **paper I** and the R software package, V.3.4.1 (The R Foundation for Statistical Computing, <http://www.r-project.org>) in **paper II** and **paper III**. The significance level was set at < 0.05 .

Normally distributed data were reported as mean \pm standard deviation (SD). Non-normally distributed data were reported as median \pm interquartile range (IQR). Categorical data was analyzed with Pearson's chi-squared tests. Continuous data was analyzed with t-tests.

Paper I: Number of hospital visits and general anesthesia events are individually summed in relation to the child's age at the time of surgery in the groups: $0 \leq 1$ year, $1 \leq 3$ years, $3 \leq 5$ years and $5 \leq 7$ years. For the data relating to birth year, linear regression model was applied.

Paper II: The socio-economic variables were each evaluated prior to the cataract diagnosis, and one and three years after the diagnosis was made. The difference in prevalence of low socio-economic status in cases and controls at each of the three time points was assessed as an odds ratio (OR) with 95% confidence interval (95% CI) from a conditional logistic regression model. This model was parametrized so that the results at the one- and three-year time points were adjusted for differences already present in the year prior to the cataract diagnosis. Results are reported both unadjusted and adjusted for: age, sex, municipality, season, calendar year and geographical birth origin.

Paper III: The association between childhood cataract and mental disorder was measured as an odds ratio (OR) with 95% confidence interval (95% CI) by a conditional logistic regression model. This was performed both unadjusted and adjusted for the children's age at onset of cataract diagnosis, sex, geographical birth origin, parental socio-economic status, family psychiatric load and the children's somatic comorbidity.

Approvals

The study was approved by the Danish Data Protection Agency (RH-2016-336; I-Suite # 05070), and the Danish Patient Safety Authority (3-3013-1935/1/NAAN). According to the Committee on Health Research Ethics in the Capital Region of Denmark, ethical board review was not required (decision number: 16038234). The study followed the tenets of the Helsinki Declaration.

Results

Paper I

Paper I quantified the strain of childhood cataract for the children and their immediate family by counting the number of hospital visits and surgery and examinations in general anesthesia.

The analyses included 227 children (116 boys and 111 girls) born between 2000 and primo 2017 and followed with childhood cataract at Rigshospitalet.

Hospital visits

Overall, children that underwent cataract surgery were followed more frequently than children with cataract but who had not undergone cataract surgery ($p < 0.0001$). The highest number of hospital visits was in particular seen in children who were operated early in life compared to children diagnosed and operated later. Bilaterally operated children diagnosed before 1 year of age were seen 9 times in median during the first year of life and 27 visits in median when accumulated by the 7th year of life. Corresponding, unilaterally operated children diagnosed within the first year of life were in median seen 11 times by the first year and cumulated 28 times after 7 years. Unoperated children were seen 5 times in median in the first year of life and 16 times when cumulated for all 7 years of life. The number of regular visits tapered over the years for both bilateral and unilateral operated children without significant difference, see **Table 3**.

<div>Cumulated interval</div> <div>Age at diagnosis</div>	0≤1 year of life	0≤3 years of life	0≤5 years of life	0≤7 years of life
<i>≤1 year</i>				
Bilateral surgery	9 (3-42) [51]	15 (6-52) [42]	20 (0-67) [36]	27 (3-60) [23]
Unilateral surgery	11 (2-36) [44]	14 (2-52) [33]	21 (5-57) [25]	28 (8-57) [19]
No surgery	5 (1-14) [33]	8 (3-20) [20]	14 (9-33) [10]	16 (12-44) [4]
<i>>1 year to ≤3 years</i>				
Bilateral surgery	-	10 (1-18) [14]	17 (11-26) [8]	25 (18-40) [4]
Unilateral surgery	-	9 (2-26) [11]	19 (8-32) [8]	23 (15-44) [6]
No surgery	-	4 (2-12) [13]	9 (5-19) [5]	15 (13-16) [3]
<i>>3 years to ≤5 years</i>				
Bilateral surgery	-	-	14 (6-30) [12]	21 (15-36) [8]
Unilateral surgery	-	-	16 (8-30) [8]	25 (18-33) [8]
No surgery	-	-	5 (2-14) [15]	9 (3-16) [5]
<i>>5 years to ≤7 years</i>				
Bilateral surgery	-	-	-	12 (10-13) [2]
Unilateral surgery	-	-	-	9 (0-19) [6]
No surgery	-	-	-	5 (3-23) [18]

Table 3: The cumulated numbers of hospital visits are given in median (range) and [number of children].

Examinations and surgical procedures in general anesthesia

Children operated bilaterally underwent general anesthesia more often (median = 3) than children operated unilaterally (median = 2) and unoperated children (median = 0) during the first year of life, see **Table 4**.

Cumulated interval Age at diagnosis	0≤1 year of life	0≤3 years of life	0≤5 years of life	0≤7 years of life
<i>≤1 year</i>				
Bilateral surgery	3 (1-9) [51]	4 (1-12) [42]	4 (1-12) [36]	5 (1-11) [23]
Unilateral surgery	2 (0-6) [44]	2 (0-6) [33]	0 (0-7) [25]	3 (0-8) [19]
No surgery	0 (0-0) [33]	0 (0-3) [20]	0 (0-3) [10]	2 (0-3) [4]
<i>>1 year to ≤3 years</i>				
Bilateral surgery	-	3 (0-5) [14]	3 (2-5) [8]	3 (3-5) [4]
Unilateral surgery	-	1 (1-5) [11]	2 (2-5) [8]	2 (2-5) [6]
No surgery	-	0 (0-3) [13]	0 (0-1) [5]	0 (0-0) [3]
<i>>3 years to ≤5 years</i>				
Bilateral surgery	-	-	2 (2-4) [12]	2 (2-4) [8]
Unilateral surgery	-	-	2 (2-10) [8]	2 (1-6) [8]
No surgery	-	-	0 (0-0) [15]	0 (0-1) [5]
<i>>5 years to ≤7 years</i>				
Bilateral surgery	-	-	-	3 (2-3) [2]
Unilateral surgery	-	-	-	1 (1-3) [6]
No surgery	-	-	-	0 (0-3) [18]

Table 4: The numbers of cumulated examinations/surgeries in general anesthesia are given in median (range) and [number of children].

Examination was the most frequent reason for repeated general anesthesia followed by cataract and glaucoma surgery, see **Figure 5**.

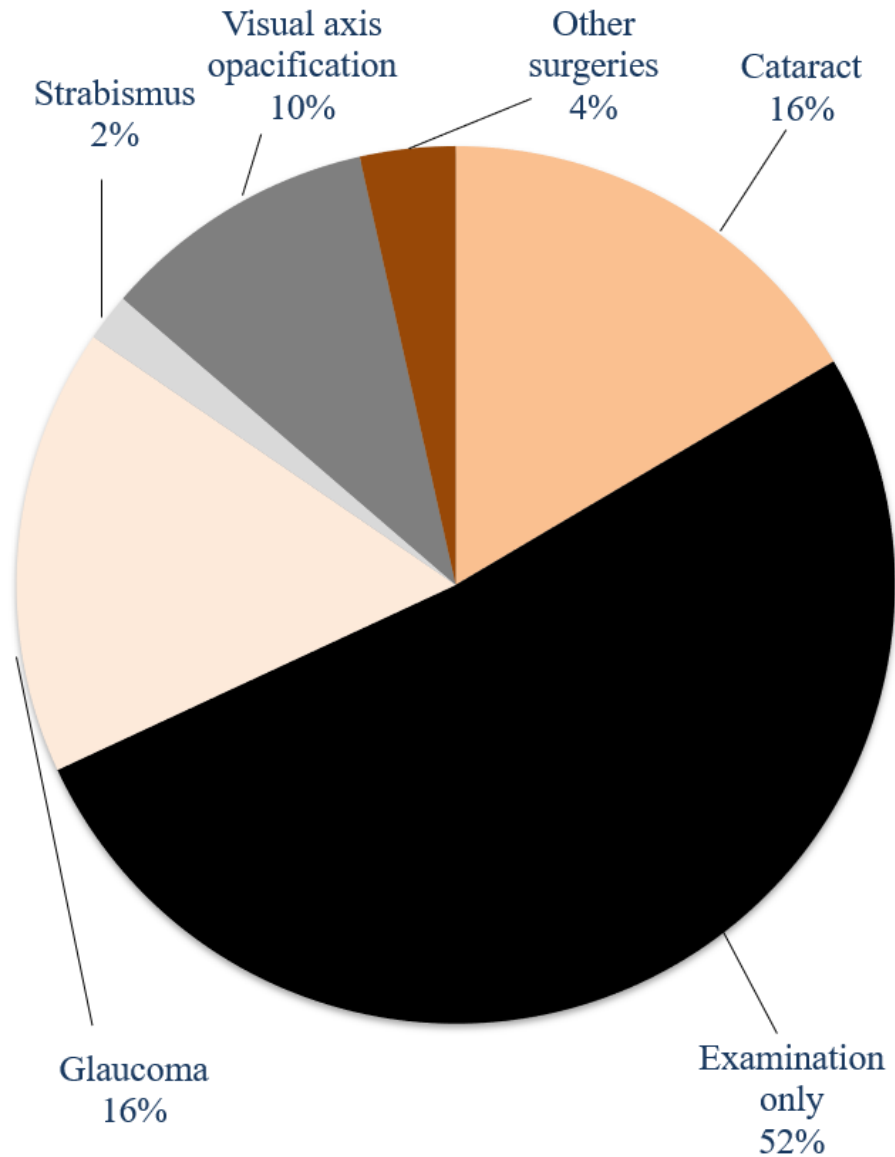


Figure 5: Reasons for general anesthesia in children with childhood cataracts diagnosed ≤ 1 year of age. Other surgeries included surgical procedures like pupilloplastic operation, removal of anterior synechia, removal of a subluxated IOL, subtenon depot of steroid for uncontrolled uveitis associated to juvenile arthritis, repeat fluorescein angiography and panretinal photocoagulation, retinal detachment, enucleation and traumatic cataracts.

IOL implantation

We did not find any significant differences in the number of examinations and procedures in general anesthesia between children with or without IOL, see **Table 5**.

	0≤1 year of	0≤3 years of life	0≤5 years of life	0≤7 years of life
<i>Bilateral surgery, out-patient visits</i>				
All patients	9 (3-42) [51]	16 (6-52) [42]	20 (0-67) [36]	27 (3-60) [23]
Patients with aphakia	11 (4-42) [38]	16 (6-52) [33]	20 (0-67) [28]	36 (4-60) [17]
Patients with IOL	7 (3-19) [13]	10 (6-29) [9]	16 (1-32) [8]	22 (3-47) [6]
<i>Bilateral surgery, procedures/examinations in general anesthesia</i>				
All patients	3 (1-9) [51]	4 (1-12) [42]	4 (1-12) [36]	5 (1-11) [23]
Patients with aphakia	4 (1-9) [38]	4 (1-12) [33]	5 (1-12) [28]	5 (1-11) [17]
Patients with IOL	3 (1-3) [13]	3 (1-5) [9]	2 (0-5) [8]	2 (0-6) [6]
<i>Unilateral surgery, out-patient visits</i>				
All patients	11 (2-36) [44]	14 (2-52) [33]	21 (5-57) [25]	28 (8-57) [19]
Patients with aphakia	12 (4-36) [18]	17 (8-52) [12]	19 (11-57) [11]	38 (11-57) [8]
Patients with IOL	11 (2-19) [26]	14 (2-27) [21]	23 (5-43) [14]	27 (8-44) [11]
<i>Unilateral surgery, procedures/examinations in general anesthesia</i>				
All patients	2 (0-6) [44]	2 (0-6) [33]	0 (0-7) [25]	3 (0-8) [19]
Patients with aphakia	2 (0-6) [18]	3 (0-6) [12]	3 (0-7) [11]	5 (1-8) [8]
Patients with IOL	2 (0-5) [26]	2 (0-4) [21]	3 (0-6) [14]	3 (0-6) [11]

Table 5: Cumulated number of outpatient visits and examinations/procedures in general anesthesia for children diagnosed before 1 year of age and grouped into operated children with or without IOL. Numbers are given in median (range) and [number of children].

Paper II

In paper II, we evaluated the socio-economic status in families affected by childhood cataract compared to an age-matched background population.

The study included 485 children with cataract (243 boys and 242 girls) and 4358 children without cataract (2177 boys and 2181 girls) matched by sex, municipality and age at the time of cataract diagnosis.

Geographical birth origin of the child

A significantly greater number of children were born outside Denmark compared to the background population (p-value = 0.01). The most frequent birthplace outside Denmark was in the Middle East with 6.8% in the cataract group versus 4.3% in the control group. An increased number of unemployed parents with lower educational level and income was seen among children born in the Middle East, Africa and the Far East compared to the European group (p<0.05), see **Table 6**.

Parental income

Low income (≤ 99.999 DKK/year) was more common in parents to children with cataract compared to controls before the cataract diagnosis was made, OR = 1.52, 95% CI (1.05 - 2.20), p-value = 0.03 after adjustment of the child's geographical birth origin. Having a child diagnosed with cataract did not change this difference one and three years after cataract onset, see **Table 7**.

Parental employment status

Parents in the cataract group were more often unemployed before cataract diagnosis onset with OR = 1.81, 95% CI (1.32 - 2.50), p-value < 0.0003 in adjusted analysis. This difference persisted in the years after cataract diagnosis was made, see **Table 7**.

Parental highest attained education

Parents in the cataract group were more likely to have ≤ 9 years of schooling (basic education) as the highest attained education (OR= 1.55, 95% CI (1.16 - 2.08), p-value = 0.003) after adjustment, see **Table 7**.

Number of siblings

Children with cataract had a higher number of siblings (6.2% had ≥ 4 siblings compared to 2.1% in the background population), p-value = 0.0005, see **Table 6**.

Family structure

Children with cataract were more often observed to live in multi-family residencies (13.8%) compared to children without cataract (8%), p-value = 0.001. Parental civil status did not differ between the two groups (p-value = 0.19), see **Table 6**.

Table 6: Baseline characteristics of children with cataract and children without cataract				
	Children with childhood cataract (N = 485)	Children without childhood cataract (N = 4358)	Missing	p-value ¹
Age at diagnosis², n (%)			0	0.98
0 years	229 (47.2)	2077 (47.7)		
1-2 years	66 (13.6)	553 (12.7)		
3-4 years	68 (14.0)	632 (14.5)		
5-7 years	62 (12.8)	562 (12.9)		
> 7 years	60 (12.4)	534 (12.3)		
Age (years), median (IQR)	1.22 (0.19 - 5.01)	1.18 (0.19 - 5.03)	0	0.99
Sex, n (%)			0	0.99
Boy	243 (50.1)	2177 (50.0)		
Girl	242 (49.9)	2181 (50.0)		
Geographical origin, n (%)			0	0.01
Denmark	428 (88.2)	4030 (92.5)		
Europe	9 (1.9)	38 (0.9)		
Middle East	33 (6.8)	189 (4.3)		
Africa	5 (1.0)	27 (0.6)		
Far East	10 (2.1)	74 (1.7)		
Number of siblings, n (%)			0	0.0005
No siblings	56 (11.5)	457 (10.5)		
1-2 siblings	314 (64.7)	3258 (74.8)		
3-4 siblings	85 (17.5)	553 (12.7)		
> 4 siblings	30 (6.2)	90 (2.1)		
Number of siblings, median (IQR)	1 (0 - 5)	1 (0 - 4)	0	0.002
Parental Civil status, n (%)			42	0.001
Single	52 (11.0)	519 (12.0)		
Married	222 (47.1)	2182 (50.4)		
Cohabiting couple	132 (28.0)	1282 (29.6)		
Multi-family residential ³	65 (13.8)	347 (8.0)		
Parental average income⁴, n (%)			28	0.02
< 100.000 DKK	36 (7.6)	187 (4.3)		
100.000 - 200.000 DKK	170 (35.7)	1520 (35.0)		
200.000 - 300.000 DKK	185 (38.9)	1830 (42.2)		
300.000 - 500.000 DKK	77 (16.2)	707 (16.3)		
> 500.000 DKK	8 (1.7)	95 (2.2)		
Income (DKK), median (IQR)	211.275 (149.625 - 267.324)	221.345 (168.231 - 277.433)	28	0.02
Parental work status, n (%)			3	0.00002
Outside workforce	58 (12.0)	253 (5.8)		
One parent at work	100 (20.7)	880 (20.2)		
Both parents at work	325 (67.3)	3224 (74.0)		
Highest attained parental education, n (%)			41	0.002
Primary education (up to 9th grade)	71 (15.1)	390 (9.0)		
High school	18 (3.8)	175 (4.0)		
Vocational education	133 (28.3)	1313 (30.3)		
Short-term higher education	38 (8.1)	364 (8.4)		
Medium-term higher education	94 (20.0)	959 (22.1)		
Bachelor	102 (21.7)	1041 (24.0)		
Long-term higher education	14 (3.0)	90 (2.1)		
¹ P-value: Pearson's chi-squared test for categorical variables, t-test for continuous variables.				
² Age at diagnosis: Controls are matched by the age at diagnosis for patients.				

Table 7: Selected socio-economic indicators of the families to children with cataract and children without cataract at the time before the diagnosis and adjusted for base-line differences one year and three years after the diagnosis												
	Children with childhood cataract (N = 485)				Children without childhood cataract (N = 4358)							
	n	%	n	%	N	%	n	%	Crude OR (95% CI) ¹	p-value	Adjusted OR (95% CI) ²	p-value
Low Income	≤ 99.999 kr.		≥ 100.000 kr.		≤ 99.999 kr.		≥ 100.000 kr.					
Pre-diagnosis	36	7.6	440	92.4	187	4.3	4152	95.7	1.60 (1.12 - 2.27)	0.01	1.52 (1.05 - 2.20)	0.03
1 year after diagnosis	32	6.7	447	93.3	155	3.6	4202	96.4	1.06 (0.71-1.58)	0.79	1.05 (0.69-1.61)	0.80
3 years after diagnosis	21	5.2	380	94.8	92	2.5	3565	97.5	1.18 (0.71-1.96)	0.52	1.15 (0.68-1.92)	0.60
High Income	≤ 499.999 kr.		≥ 500.000 kr.		≤ 499.999 kr.		≥ 500.000 kr.					
Pre-diagnosis	468	98.3	8	1.7	4244	97.8	95	2.2	1.27 (0.61 - 2.65)	0.53	1.22 (0.58 - 2.56)	0.60
1 year after diagnosis	471	98.3	8	1.7	4262	97.8	95	2.2	1.00 (0.44 - 2.24)	0.99	1.00 (0.44 - 2.25)	1.00
3 years after diagnosis	388	96.8	13	3.2	3553	97.2	104	2.8	0.68 (0.30 - 1.56)	0.36	0.67 (0.28 - 1.56)	0.35
Civil status	Single		Cohabiting parents		Single		Cohabiting parents					
Pre-diagnosis	52	11.0	419	89.0	519	12.0	3811	88.0	0.90 (0.67 - 1.20)	0.47	0.82 (0.60 - 1.11)	0.19
1 year after diagnosis	49	11.2	388	88.8	477	11.9	3517	88.1	1.03 (0.81 - 1.30)	0.84	1.03 (0.81 - 1.32)	0.80
3 years after diagnosis	56	15.7	300	84.3	479	14.6	2801	85.4	1.20 (0.89-1.63)	0.24	1.23 (0.89 - 1.69)	0.21
Workforce	Outside		At work		Outside		At work					
Pre-diagnosis	58	12.0	425	88.0	253	5.8	4104	94.2	1.74 (1.34-2.26)	< 0.0001	1.81 (1.32 - 2.50)	0.0003
1 year after diagnosis	45	10.1	401	89.9	221	5.5	3786	94.5	0.87 (0.68-1.12)	0.29	0.85 (0.62 - 1.16)	0.30
3 years after diagnosis	38	10.4	327	89.6	172	5.2	3122	94.8	0.94 (0.69-1.28)	0.69	0.94 (0.64 - 1.37)	0.74
Highest attained education:	Basic education		Higher		Basic education		Higher					
Pre-diagnosis	71	15.1	399	84.9	390	9.0	3942	91	1.64 (1.27 - 2.13)	0.0002	1.55 (1.16 - 2.08)	0.003
1 year after diagnosis	65	14.9	370	85.1	335	8.4	3663	91.6	1.06 (0.96 - 1.18)	0.27	1.07 (0.95 - 1.20)	0.27
3 years after diagnosis	47	13.1	311	86.9	250	7.6	3037	92.4	1.00 (0.82 - 1.23)	1.00	1.00 (0.80 - 1.26)	0.99
Highest attained education:	Lower		Advanced education		Lower		Advanced education					
Pre-diagnosis	354	75.3	116	24.7	3201	73.9	1131	26.1	1.05 (0.86 - 1.30)	0.63	1.01 (0.82 - 1.26)	0.87
1 year after diagnosis	330	75.9	105	24.1	2944	73.6	1054	26.4	1.04 (0.98 - 1.11)	0.22	1.05 (0.98 - 1.12)	0.20
3 years after diagnosis	267	74.6	91	25.4	2407	73.2	880	26.8	1.00 (0.89 - 1.12)	0.93	1.00 (0.88 - 1.12)	0.93
¹ The OR at the first and third year after diagnosis refers to unchanged difference in the social-economic status presented at the time before the diagnosis between children with cataract and children without cataract.												
² Adjusted for age at diagnosis, sex, birth origin, diagnosis year, diagnosis season and number of siblings; categorized as indicated in Table 1												

Paper III

Paper III evaluated the incidence of mental disorders among children with cataract compared to an age-matched background population (the same population as in paper II).

Children with cataract and severe somatic diseases have an increased incidence of neurodevelopmental disorders (15.4%) and other mental disorders (9.0%) compared to children with isolated cataract (neurodevelopmental disorders: 5.8% and other mental disorders: 2.3%), see **Table 8**.

	Isolated cataract	Cataract and severe somatic diseases	p-value ^a
	N= 407	N=78	
Sex Girls/Boys (%)	197/210 (48.4/51.6)	45/33 (57.7/42.3)	0.168
Age at cataract diagnosis (year) Median (IQR)	1.4 (0.19 - 5.1)	0.6 (0.14 - 4.4)	0.344
Age at surgery (year) Median (IQR)	2.1 (0.3 - 4.9)	0.6 (0.2 - 4.0)	0.243
Operated/non-operated, n (%)	232/175 (57.0/43.0)	50/28 (64.1/35.9)	0.299
Any mental disorders ^b, n (%)	34 (8.4)	17 (21.8)	0.0008*
Neurodevelopmental disorder, n (%)	28 (5.8)	12 (15.4)	0.023*
Other mental disorders, n (%)	11 (2.3)	7 (9.0)	0.018*

^a P-value: Pearson's chi-squared test for categorical variables, t-test for continuous variables.

^b Each child could be diagnosed with different mental disorders. The number of children with neurodevelopmental and other mental disorders does therefore not sum up to the number of children with any mental disorders.

Table 8: Background characteristics of children with cataract (N=485).

The incidence of mental disorders was doubled in children with cataract (10.5 %, n = 51/485) compared to the matched background population (5.2%, 225/4358), OR = 1.83, 95% CI (1.28 – 2.63), p-value = 0.0009 after adjustment for geographical birth origin, somatic disease confounders, parental socio-economic status and parental mental disorders. The incidence of mental disorders was highest in children diagnosed with cataract in the first 3 years of life (10.7%, n = 35/327), see **Table 9**.

	Children with cataract	Children without cataract	Unadjusted		Adjusted	
	N = 485	N = 4358	OR (95% CI)	p-value	OR (95% CI)	p-value
	n/N (%)					
Any mental disorders	51/485 (10.5)	225/4358 (5.2)	2.16 (1.57 - 2.78)	< 0.00001*	1.83 (1.28 - 2.63)	0.0009*
0-3 years ^a	35/327 (10.7)	118/2912 (4.1)	2.84 (1.91 - 4.22)	< 0.00001*	2.36 (1.53 - 3.64)	0.0001*
4-10 years ^a	16/158 (10.1)	107/1446 (7.4)	1.41 (0.81 - 2.45)	0.224	1.24 (0.66 - 2.30)	0.504
Neurodevelopmental disorders	40/485 (8.2)	148/4358 (3.4)	2.56 (1.78 - 3.67)	< 0.00001*	2.05 (1.35 - 3.11)	0.0007*
0-3 years ^a	28/327 (8.6)	77/2912 (2.6)	3.45 (2.20 - 5.40)	< 0.00001*	2.64 (1.59 - 4.4)	0.0002*
4-10 years ^a	12/158 (7.6)	71/1446 (4.9)	1.59 (0.84 - 3.00)	0.152	1.37 (0.68 - 2.76)	0.376
Other mental disorders	18/485 (3.7)	95/4358 (2.2)	1.73 (1.04 - 2.89)	0.036*	1.69 (1.0 - 2.87)	0.052
0-3 years ^a	12/327 (3.7)	50/2912 (1.7)	2.18 (1.15 - 4.14)	0.017*	2.22 (1.18 - 4.18)	0.014*
4-10 years ^a	6/158 (3.8)	45/1446 (3.1)	1.23 (0.52 - 2.98)	0.642	1.12 (0.40 - 2.85)	0.814

^a Age at cataract onset

Table 9: Incidence of mental disorders in the study population.

The risk of neurodevelopmental disorders was doubled in children with cataract (OR = 2.05, 95% CI (1.35 – 3.11), p-value = 0.0007) after adjustment for selected confounders. The most frequent neurodevelopmental disorder was unspecific developmental delay (4.5%, n = 22/485). The incidence of unspecific developmental delay disorders was increased in the children with cataract compared to the controls, OR = 2.66, 95% CI (1.45 - 4.90), p-value = 0.0017, see **Table 10**.

The risk of anxiety disorders was quadrupled in children with cataract (2.1%, n = 10/485 versus 0.5%, n=23/4358) compared to the control group, OR = 4.10, 95% CI (1.90 - 8.84), p-value = 0.0003, see **Table 10**.

	Children with cataract	Children without cataract	Unadjusted		Adjusted	
	N = 485	N = 4358	OR (95% CI)	p-value	OR (95% CI)	p-value
	n/N (%)					
Neurodevelopmental disorders	40/485 (8.2)	148/4358 (3.4)	2.56 (1.78 - 3.67)	< 0.00001*	2.05 (1.35 - 3.11)	0.0007*
Autism spectrum disorders	11/485 (2.3)	55/4358 (1.3)	1.82 (0.94 - 3.49)	0.074	1.62 (0.78 - 3.39)	0.192
ADHD	6/485 (1.2)	43/4358 (1.0)	1.26 (0.53 - 2.97)	0.602	1.31 (0.50 - 3.46)	0.581
Unspecific developmental delay	22/485 (4.5)	54/4358 (1.2)	3.79 (2.29 - 6.28)	< 0.00001*	2.66 (1.45 - 4.90)	0.0017*
Other mental disorders^a	18/485 (3.7)	95/4358 (2.2)	1.73 (1.04 - 2.89)	0.036*	1.69 (1.0 - 2.87)	0.052
Anxiety disorders	10/485 (2.1)	23/4358 (0.5)	3.97 (1.88 - 8.39)	0.0003*	4.10 (1.90 - 8.84)	0.0003*

Notably, a child could be diagnosed with two or more different mental disorders but counts once in the total number.

^a Increased risk of eating disorders was found, but the number of children were too few to allow for publication according to rules of Statistics Denmark.

Table 10: The incidence of the most often diagnosed mental disorders in children with cataract compared to corresponding diagnoses in children without cataract.

Discussion

The present thesis explores the effects of childhood cataract on health and life of the children and their parents. Using hospital records, we quantified hospital visits and procedures in general anesthesia and found that the operated children were more often seen in the first year of life compared to not operated children. Bilaterally operated children were more frequently exposed for general anesthesia with approximately one event per year in the first seven years of life compared to unilaterally operated children and children without surgery. Based on national population registries, we found that children with cataract more often belong to socio-economically disadvantaged families with lower educational level, income and parents more often outside workforce and a different family structure than the background population. Finally, we found that risk of neurodevelopmental delay and anxiety disorders was increased in children with cataract.

Follow-up

Children with cataract are followed lifelong to detect and monitor postoperative complications ⁶. The burden of hospital visits and procedures in the first 10 years of life was quantified in **paper I** where we found that operated children had particularly frequent outpatient follow-up with approximately 10 hospital visits in the first year of life and an average of nearly 30 hospital visits for the first 7 years of life. 50% of the bilaterally operated children and 25% of the unilaterally operated children had undergone general anesthesia at least 5 times by the 7th year of life. General anesthesia in infants may not be entirely risk free ¹¹⁰ as it may affect intelligence and growth ¹¹⁰⁻¹¹⁴ as it can impair the cognition and induce structural brain changes ¹¹¹. Hence, it is necessary to balance the advantages of thorough examinations in general anesthesia with the disadvantages and burden of repeated anesthesia. The need for examinations in full anaesthesia is highest in children below 3 years of age since they are more difficult to examine when awake, and they are at a higher risk of developing postoperative complications that may adversely affect visual outcome if not detected and treated timely. In contrast to the findings from The Infant Aphakia Treatment Study ¹¹⁵, we did not find that children with IOLs had more intraocular surgeries than children who were left aphakic. In our institution, children below the age of 6 months were typically left aphakic while children older than 6 months usually received IOL. Postoperative complications are more often observed in children operated early in life. Furthermore, complicated eyes are usually left aphakic why the difference between our and their finding may be explained by age differences in

the IOL group and aphakia group rather than the effect of IOL itself on the number of general anesthesia events.

To the authors best knowledge, this study is the first to quantify the number of hospital visits and general anaesthesia events in children with cataract, but findings may be different in centres with a different follow-up regime. The mean follow-up frequency found in paper I is close to the recommended standard follow-up regime in our institution.

Socio-economic status

The intensive management with frequent hospital visits and general anaesthesia events may not only affect the child but also the family as they participate in the many follow-up visits and also play a central role in amblyopia treatment ⁶ which is typically associated with a high degree of distress and logistical problems for children and parents ^{68,116,117}. Lower parental socio-economic status is associated to health issues in children ¹¹⁸.

Thus, in **paper II** we evaluated the socio-economic status of families affected by childhood cataract prior to and after cataract was diagnosed compared to children without cataract matched by sex, municipality and age at the time of cataract diagnosis. We found that families of children with cataract had lower income, lower parental educational level, were more likely to be outside the workforce and to live in multi-family residencies with a higher number of children per family. In addition, more children with cataract were born outside Denmark.

Interestingly, we observed that the socio-economic differences between families affected by childhood cataract and the background population were present already prior to the cataract was diagnosed and persisted after the diagnosis, indicating that the strain on families by the frequent hospital visits described in paper I did not aggravate the socio-economic disadvantage. However, the families could potentially have reached the lowest income prior to the cataract diagnosis (“floor effect”) with regards to income which makes it difficult to measure the effect of cataract on their income. Some of the parents had possibly suffered from the same condition as well, and visually impaired individuals are more likely to face socio-economic deprivation ¹¹⁹. This is not without consequences as socio-economically disadvantaged individuals often face a higher risk of disease and health care access inequalities ^{118,120,121}. Denmark has free access to health care for all socio-economic groups in the community which in addition provides financial assistance to spectacles

and/or contact lenses for children with cataract. We observed a socio-economic challenged population with fewer parents of children with cataract who had completed schooling beyond basic education. Lower socio-economic status including lower education levels may be important barriers to affect the care-seeking behavior among parents to children with pediatric cataract ¹²². It may therefore not be without consequences as lower educational background may result in a poor understanding of the risks associated with childhood cataract and may impact how parents recognize symptoms of cataract or its complications, and how they cope and fulfill with the treatment and follow-ups ¹²³. Socio-economic status of parents to cataract affected children has not previously been managed as a primary outcome measure. Previously, the socio-economic status has either been evaluated for adults who suffered from cataract in childhood ¹²⁴ or a minor part of the parental socio-economic status was included in baseline characteristics of the population rather than assessed as a main outcome ¹²⁵.

Mental disorders

Previous suggestions of a higher risk of mental disorders in persons suffering from chronic and demanding course of a disease ^{91–96} made it interesting and relevant to evaluate whether the chronicity and burden of childhood cataract also have an impact on the child's mental health, especially as research results in this field are limited. Thus, in **paper III**, we explored all pediatric mental disorders in children diagnosed with cataract before the age of 10 and compared that to age and sex-matched controls by using a nationwide database, taking into account the potential influences of a range of confounders like geographical birth origin of the child, somatic disease confounders, parental socio-economic status and parental mental disorders. We demonstrated a more than fourfold increased risk of anxiety disorders and a more than twofold increased risk of developmental delay in children with cataract.

Neurodevelopmental disorders were more prevalent among children with severe somatic disease and in those diagnosed with cataract ≤ 3 years of age compared to those who were diagnosed later in childhood. Unspecific developmental delay was the most frequent diagnosis. In addition to that, we found a higher risk of mental disorders in the group of children who had cataract in combination with systemic comorbidities suggesting that the load of somatic disease is likely to influence the risk of mental disorders ¹²⁶. Though we corrected for disease confounders, the incidence of developmental delay disorders remained higher in the cataract group.

While we expected to find a higher risk of neurodevelopmental disorders in children affected by cataract, we were surprised to find a fourfold risk of anxiety disorders. This could potentially be a consequence of the chronicity of the disease with repeated examinations under anesthesia, hospital appointments and restraining, e.g. with amblyopia patching ⁶ or/and an effect of visual impairment itself impeding normal social interaction and response to visual stimuli, e.g. facial expressions ^{1,13,14}. Anxiety is well described features in young blind and visually impaired ^{87–89}. We assessed children up to the age of 10 years but the risk of anxiety peaks in teenage years ⁹⁹ which means that our incidence evaluations are likely underestimated seen in a longer perspective.

The knowledge on the incidence of mental disorders in childhood cataract is to date limited to two questionnaire based studies that reported a higher risk of conduct problems, learning problems, psychosomatic, impulsiveness/hyperactivity, anxiety problems ¹²⁷ and a lower psychosocial health level ¹²⁸. The higher incidence of anxiety symptoms agreed with our findings, but learning problems were not evaluated in paper III. However, challenges in learning environment have previously been described in pediatric cataract population who rated their subjective visual function related to academic achievements including reading as poor ¹²⁹. One of the questionnaire studies has reported a higher incidence of ADHD, but this association was not observed in our study. Our evaluation was based on mental disorders diagnosed by physicians in a hospital setting which is likely to only represent the tip of the iceberg since milder disorders like ADHD and ASD may be treated exclusively by physicians in private practice. A British study of 41 children who had previously been diagnosed with congenital cataract explored the health-related quality of life by questionnaire studies about social and emotional functioning and found a lower psychosocial health level among cataract affected children compared to children with other systemic diseases ¹²⁸ which is in agreement with our results.

Our results highlight the psychological burden on children who live with chronic disease. The psychological load may in some cases influence treatment outcome, e.g. in adults with Type 1 Diabetes Mellitus ^{130–132}. Adult patients suffering from anxiety have a higher risk of being noncompliant with their medical treatment recommendations ¹³³. Compliance is essential for visual outcome in amblyopia treatment which is often included in the management of childhood

cataract². Treatment of amblyopia can be associated with a high level of challenges and stress^{68,116,117} resulting in a reduced compliance, in particularly among persons with mental disorders¹³⁴. Hence, mental disorders in children can result in a lower adherence to patching and consequently affect visual outcome. Patching in mental challenged children can potentially worsen their mental health.

Strengths and limitations

Paper I is a retrospective descriptive study without a control group. However, it consists of a large number of medical records including ophthalmological, optometrist and orthoptic evaluation during the years. In addition, children without eye problems are not expected to be seen at eye departments therefore the number of visits to eye department is believed to be close to zero for the general pediatric population. The count of visits did not include appointments at other departments than the Department of Ophthalmology at Rigshospitalet.

The major strength of **paper II** and **III** is their nationwide character with no attrition bias. To minimize the interaction of potential confounders, we adjusted for relevant variables such as geographical birth origin of the child in both paper II and III, disease confounders, parental socio-economic status and parental mental disorders were also included in paper III. However, in paper II, a longer follow-up would have been welcome, but it was not possible because 25% of cataract cases were diagnosed so recently that they were evaluated as missing data at a five-year follow-up time point. In paper III, there may be risk of selection bias since children seen and treated by child psychiatrists working in private practice are not always reported into NPR resulting in an underestimated true incidence of mental disorders. Referral bias must be considered when extracting data about mental disorders in controls versus cases because the case group is expected to be more at hospital and therefore has better odds to be diagnosed earlier. On the other hand, it could also be underrepresented due to the parents' exhaustion that potentially makes them reluctant to have further follow-up and tendency to interpret the child's behavior into eye disease context.

Conclusion

In this thesis, we studied aspects of childhood cataract which have not previously been described well in the literature. Overall, we showed that childhood cataract is a demanding chronic condition with many years of follow-up visits with consequences to the child's mental health status. We also found that families of children with cataract have a higher risk of being socio-economically vulnerable with lower educational level. Our findings underscore the potential psychological burden on children living with chronic disease or handicap and a need to inform and prepare the families about the course early at the disease onset and to support them continuously with e.g. longer consultation time in order to optimize the management and treatment. The use of psychiatric screening may reduce the potential risk of emotional burden on the child and may help with compliance. Mental health assessment and treatment should be an integral component of comprehensive care of chronically ill children

Future perspectives

An increased awareness of the challenges associated with childhood cataract may improve the quality of life in these children and support their vulnerable families by incorporating additional approaches and strategies in the ophthalmic clinical environment. The changes to patient management include longer consultation time and psychiatric screening instruments like Strengths and Difficulties Questionnaire (SDQ) ¹³⁵. In addition, treatment and management may be organized individually considering the child's overall health and the parental background. Furthermore, it could be interesting to examine the school performance of our cohort in order to provide support in this area if needed.

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Appendix: Papers I-III

Paper I

Children with congenital and childhood cataract require frequent follow-up visits and examinations in general anaesthesia: considerations for the strain on families

Paper II

Socio-economic status in families affected by childhood cataract

Paper III

Increased incidence of mental disorders in children with cataract – findings from a population-based study

PAPER I

Children with congenital and childhood cataract require frequent follow-up visits and examinations in general anaesthesia: considerations for the strain on families

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ABSTRACT.

Background: Children with cataract require frequent monitoring to detect complications, adjust refractive correction and treat amblyopia. This is time consuming for the families. The aim of the study was to evaluate how often children with cataract are seen as outpatients or under general anaesthesia during the first 7 years of life.

Methods: We performed a retrospective chart review of all children with congenital and childhood cataract born between 2000 primo and 2017 seen at our institution. The cumulated number of outpatient visits and examinations and/or surgeries in general anaesthesia was extracted for age 1, 3, 5 and 7 years.

Results: Children who had cataract surgery were seen significantly more often than children without surgery. During the first year of life, children with bilateral surgery had a median of nine outpatient visits, children with unilateral cataract had 11 and children without surgery had five outpatient visits. At 7 years of age, half of the children operated bilaterally before 1 year of age had undergone at least five procedures/examinations in general anaesthesia versus 1/4 of those with unilateral surgery and none of those without surgery. Children were seen less frequently with advancing age.

Conclusion: The management, treatment and follow-up of children with cataract are demanding, requiring frequent hospital visits and repeated examinations and/or surgical procedures in general anaesthesia over many years, but mainly during the first year of life. Surgical patients are more complex and require closer follow-up. This message is important to convey to the parents at the onset of the disease.

Key words: anaesthesia – cataract – childhood cataract – congenital – family strain – family stress

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Introduction

Congenital cataract is a lens opacity present at birth. The management of

childhood cataracts is tedious and often difficult, requiring frequent follow-up over many years. Attending the regular follow-up examination at hospitals and

the often repeated need for examinations and/or surgical procedures in general anaesthesia is demanding to the families, and making the right decisions already at the preoperative evaluation is essential and requires well-informed parents (Yorston 2004). A successful outcome after surgery for childhood cataracts requires a dedicated team effort involving parents, paediatric ophthalmologists, paediatric ophthalmic surgeons, paediatricians, anaesthesiologists, technicians, orthoptists, low vision rehabilitation specialists and community health workers. Children undergoing cataract surgery must be followed regularly because of a high risk of secondary glaucoma, visual axis opacification, amblyopia and changing refractive errors as the eye grows (Lim et al. 2017). It is very important to ensure that the family understands the prognosis and duration of treatment since they are going to be responsible for implementing most of it (Yorston 2004). Consequently, it is of interest to investigate how much time families of children with congenital cataract spend on hospital visits for outpatient follow-up and examinations and/or surgical procedures in general anaesthesia.

Materials and Methods

We included all patients born between 2000 and primo 2017 seen at Rigshospitalet-Glostrup with congenital or

childhood cataracts. We excluded patients who had undergone surgery outside our institution. We included children with all types of cataracts including traumatic cataracts. Only patients with lenticular opacities were included in the study. Patients with other types of lens anomalies, including subluxation, posterior lenticonus and persistent prepupillary membranes without lenticular opacities, were excluded. Both children with isolated cataracts, and children with cataracts in combination with ocular malformations (e.g. Peters anomaly) or syndromes were included. Hospital charts were reviewed and the number of outpatient visits and examinations/surgical procedures performed under general anaesthesia were recorded and cumulated for the ages of 1, 3, 5 and 7. Seven years of age was chosen as the final follow-up time since amblyopia treatment was usually stopped or markedly tapered after the age of 7, and because children older than seven usually were able to undergo small procedures (e.g. Yttrium Aluminium Garnet (YAG) laser membranectomy for visual axis opacification) without general anaesthesia. Examination in general anaesthesia in our study refers to when children only underwent examination without any surgical procedures. Surgical procedures under general anaesthesia were always accompanied by a thorough examination – examinations in combination with a surgical procedure were grouped according to the surgical procedure.

Indication for surgery was a visually disturbing cataract covering the pupillary area in the undilated eye. In some cases, visually disturbing unilateral cataracts were not operated if the risk associated with surgery exceeded the benefit of the procedure (e.g. severely microphthalmic eyes with little potential for visual recovery judged by visually evoked potentials, at the treating clinician's discretion or late diagnosis of unilateral cataract with no hope of reversing deprivation amblyopia). Bilateral surgeries were typically performed 1–2 weeks apart in two different surgical settings, but some children had simultaneous bilateral cataract surgery.

Children were followed pre- and postoperatively by a paediatric ophthalmologist, an orthoptist and low vision specialist rehabilitation

optometrist as required. In cases where cataract surgery was performed, the child was followed by a paediatric cataract surgeon prior to surgery and for the first month following surgery. When the child required follow-up by a multidisciplinary team, the outpatient visits were coordinated on the same date if at all possible to minimize the time consumption and days off work for the families.

Number of outpatient visits and examinations/procedures in general anaesthesia were calculated only for visits at the Eye Department. Some children were also followed by other departments, for example, paediatric departments, those visits and examinations under anaesthesia plus procedures in general anaesthesia performed for non-ocular causes were not evaluated as we did not have sufficiently reliable historical data for this.

A large proportion of children with cataracts were not operated because the cataract was not deemed visually significant, for example, small anterior polar cataracts, or vision was not expected to improve after surgery, for example, posterior polar cataract with or without persistent foetal vasculature with severe deprivation amblyopia.

The study was approved by the Danish Data Protection Agency (RH-2016-336; I-Suite # 05070), and the Danish Patient Safety Authority (3-3013-1935/1/NAAN). According to the Committee on Health Research Ethics in the Capital Region of Denmark ethical board review was not required. The study followed the tenets of the Helsinki Declaration.

Statistical method

Statistical analyses were performed using SIGMAPLOT® (version 13.0, Systat Software, Inc., San Jose, CA, USA) and The SAS® (version 9.4, SAS Institute, Cary, NC, USA). If the number of outpatient visits and number of procedures and/or examinations in general anaesthesia were not normally distributed, non-parametric tests were used. p -values ≤ 0.05 were considered statistically significant. Data are summed in relation to the child's age at operation examination in the groups: $0 \leq 1$, $1 \leq 3$, $3 \leq 5$ and $5 \leq 7$ years. Also, cumulated data are shown for ages $0 \leq 1$, $0 \leq 3$, $0 \leq 5$ and

$0 \leq 7$ years. Categorical data were analysed with chi-squared. For the data relating to birth year (Fig. 2) linear regression and a linear regression model was applied.

Results

We included 227 children (116 boys and 111 girls) born between 2000 and primo 2017 and followed at our institution since the diagnosis of congenital or childhood cataracts. Of the 79 children who had bilateral cataract extraction, 51 were operated during the first year of life. Correspondingly, 44 out of 69 children with unilateral surgery had surgery in the first year of life. 79 children were not operated. A relatively high number of children were operated for unilateral cataract late between the age of 3–5 years ($n = 15$) and 5–7 years ($n = 6$). This was in part due to traumatic cataracts ($n = 3$) or secondary cataracts related to uveitis or postradiation therapy ($n = 4$) but the majority of cases were discovered as part of the preschool visual screening programme ($n = 14$). A large number of the children who were not operated were diagnosed late in childhood between 3 and 7 years of age.

Outpatient visits

Generally, children who had been operated for cataract were seen more frequently than children who were not operated, see Table 1. Unoperated children diagnosed $0 \leq 5$ years of age had significantly fewer outpatient visits for the first 5 years of life than children operated for bilateral or unilateral cataracts at all follow-up time points ($p < 0.0001$, non-parametric test). It was 5, 8 and 14 in median for $0 < 1$, $0 < 3$ and $0 < 5$ respectively and 9/11, 15/14 and 20/21 for bilateral/unilateral in corresponding years. For children diagnosed after the age of 5, there were too few observations to allow for statistical testing.

For those children who were diagnosed before 1 year of age, children with bilateral surgery were seen a median of nine times during their first year of life and accumulated median of 27 times by their 7th year. Correspondingly, children with unilateral surgery diagnosed before 1 year of life were seen a median of 11 times during the first year of life and cumulated 28 times during

the first 7 years of life and children who were not operated were seen five (median) times in their first year of life and cumulated 16 times for the first 7 years of life. Children diagnosed in early infancy had more frequent outpatient visits than those diagnosed later.

The need for regular follow ups tapered over the years, see Table 1. For children diagnosed before 1 year of age and who underwent bilateral surgery, the median number of days between outpatient visits increased from 23 days during the first year of life, to 69 at age 3 years, 85 at age 5 years and 95 at age 7 years. For children diagnosed before 1 year of age with unilateral

surgery the corresponding median number of days between visits were 19 days at 1 year, 68 at 3 years, 85 at 5 years and 72 at 7 years. There was no significant difference between children with unilateral or bilateral surgery but children without surgery were seen at significantly longer intervals for the first 3 years of life ($p < 0.001$). For the first year of life, 60.8% ($n/N = 31/51$) of children with bilateral surgery were seen more than once per month and only 3.9% ($n/N = 2/51$) were seen in longer intervals than every second month. For children with unilateral surgery, 81.8% ($n/N = 36/44$) of children were seen more than once per month and 4.6%

($n/N = 2/44$) were seen less frequent than every second week during the first year of life. Only 13.9% of the children without surgery were seen at 1-month intervals or shorter in the first year of life ($n/N = 11/79$).

Examinations and surgical procedures in general anaesthesia

The need for repeated surgical procedures or examinations in general anaesthesia during the first year of life was significantly higher for children with bilateral cataract surgery compared to children with unilateral cataract or no surgery, see Table 2. A need for thorough

Table 1. Cumulated number of outpatient visits.

Cumulated interval Age at diagnosis	0 ≤ 1 year of life	0 ≤ 3 years of life	0 ≤ 5 years of life	0 ≤ 7 years of life
≤1 years				
Bilateral surgery	9 (3–42) [51]	15 (6–52) [42]	20 (0–67) [36]	27 (3–60) [23]
Unilateral surgery	11 (2–36) [44]	14 (2–52) [33]	21 (5–57) [25]	28 (8–57) [19]
No surgery	5 (1–14) [33]	8 (3–20) [20]	14 (9–33) [10]	16 (12–44) [4]
>1 year to ≤3 years	–	10 (1–18) [14]	17 (11–26) [8]	25 (18–40) [4]
Bilateral surgery	–	9 (2–26) [11]	19 (8–32) [8]	23 (15–44) [6]
Unilateral surgery	–	4 (2–12) [13]	9 (5–19) [5]	15 (13–16) [3]
No surgery	–	–	–	–
>3 years to ≤5 years	–	–	14 (6–30) [12]	21 (15–36) [8]
Bilateral surgery	–	–	16 (8–30) [8]	25 (18–33) [8]
Unilateral surgery	–	–	5 (2–14) [15]	9 (3–16) [5]
No surgery	–	–	–	–
>5 years to ≤7 years	–	–	–	12 (10–13) [2]
Bilateral surgery	–	–	–	9 (0–19) [6]
Unilateral surgery	–	–	–	5 (3–23) [18]
No surgery	–	–	–	–

Numbers are given in median (range) and [number of children].

Table 2. Cumulated number of examinations/surgeries in general anesthesia.

Cumulated interval Age at diagnosis	0 ≤ 1 year of life	0 ≤ 3 years of life	0 ≤ 5 years of life	0 ≤ 7 years of life
≤1 years				
Bilateral surgery	3 (1–9) [51]	4 (1–12) [42]	4 (1–12) [36]	5 (1–11) [23]
Unilateral surgery	2 (0–6) [44]	2 (0–6) [33]	0 (0–7) [25]	3 (0–8) [19]
No surgery	0 (0–0) [33]	0 (0–3) [20]	0 (0–3) [10]	2 (0–3) [4]
>1 year to ≤3 years	–	3 (0–5) [14]	3 (2–5) [8]	3 (3–5) [4]
Bilateral surgery	–	1 (1–5) [11]	2 (2–5) [8]	2 (2–5) [6]
Unilateral surgery	–	0 (0–3) [13]	0 (0–1) [5]	0 (0–0) [3]
No surgery	–	–	–	–
>3 years to ≤5 years	–	–	2 (2–4) [12]	2 (2–4) [8]
Bilateral surgery	–	–	2 (2–10) [8]	2 (1–6) [8]
Unilateral surgery	–	–	0 (0–0) [15]	0 (0–1) [5]
No surgery	–	–	–	–
>5 years to ≤7 years	–	–	–	3 (2–3) [2]
Bilateral surgery	–	–	–	1 (1–3) [6]
Unilateral surgery	–	–	–	0 (0–3) [18]
No surgery	–	–	–	–

Numbers are given in median (range) and [number of children].

examination was the most frequent cause for general anaesthesia followed by cataract and glaucoma surgery, see Fig. 1. Surgery for visual axis opacifications and other types of surgeries were

performed in smaller numbers (16%). Other surgeries included a total of 23 surgical procedures performed on 12 children ranging from pupilloplastic surgery ($n = 1$), removal of anterior synechia

($n = 2$) over removal of a subluxated intraocular lens (IOL) ($n = 1$), subtenon depot of steroid for otherwise uncontrolled uveitis related to juvenile arthritis (one child, two injections), repeat fluorescein angiography and panretinal photocoagulation in a child with Eales disease (one child, two procedures) to more severe cases of unilateral cataract with persistent hyaloid artery ultimately resulting in several surgical procedures to treat a retinal detachment ($n = 1$ child) and unilateral cataract caused by persistent hyaloid artery resulting in glaucoma and removal of a blind, painful eye ($n = 1$ child). In addition, four children with traumatic cataracts required repeat surgical procedures to control the condition with three of those children developing a retinal detachment in the aftermath of the trauma.

There were no significant differences between children with IOL or aphakia with respect to the number of examinations and procedures in general anaesthesia, see Table 3.

To examine if the need for examinations and surgical procedures in general anaesthesia changed over the years as more handheld examination devices, for example, Icare tonometer, became available (from 2007 in our institution) the number of general anaesthesia procedures for a subgroup of children who were diagnosed and operated ≤ 1 year of age, as shown in Fig. 2, was examined in relation to year of birth. Data were summed for each of the age groups $0 \leq 1$, $1 \leq 3$ and $3 \leq 5$ years. The number of general

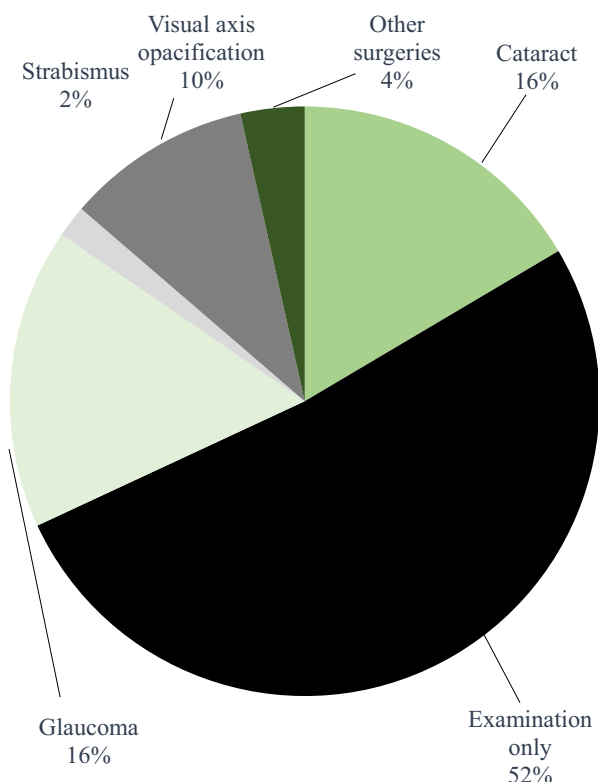


Fig. 1. Pie chart demonstrating the causes for general anaesthesia in children with congenital or childhood cataracts diagnosed ≤ 1 year of age. The expression ‘examination only’ was used when the sole cause of anaesthesia was a need for thorough examination. An examination was always performed when the child was in general anaesthesia for a surgical procedure, but those examinations are not included in the number of examinations reported in the pie chart. Cataract surgery includes all universal anaesthesia settings with the purpose of performing cataract surgery.

Table 3. Cumulated number of outpatient visits and examinations/procedures in general anaesthesia for children diagnosed before 1 year of age depending on whether they had intraocular lens implant at the time of surgery or not.

	0 ≤ 1 year of life	0 ≤ 3 years of life	0 ≤ 5 years of life	0 ≤ 7 years of life
Bilateral surgery, outpatient visits				
All patients	9 (3–42) [51]	16 (6–52) [42]	20 (0–67) [36]	27 (3–60) [23]
Patients with aphakia	11 (4–42) [38]	16 (6–52) [33]	20 (0–67) [28]	36 (4–60) [17]
Patients with IOL	7 (3–19) [13]	10 (6–29) [9]	16 (1–32) [8]	22 (3–47) [6]
Bilateral surgery, procedures/examinations in general anaesthesia				
All patients	3 (1–9) [51]	4 (1–12) [42]	4 (1–12) [36]	5 (1–11) [23]
Patients with aphakia	4 (1–9) [38]	4 (1–12) [33]	5 (1–12) [28]	5 (1–11) [17]
Patients with IOL	3 (1–3) [13]	3 (1–5) [9]	2 (0–5) [8]	2 (0–6) [6]
Unilateral surgery, outpatient visits				
All patients	11 (2–36) [44]	14 (2–52) [33]	21 (5–57) [25]	28 (8–57) [19]
Patients with aphakia	12 (4–36) [18]	17 (8–52) [12]	19 (11–57) [11]	38 (11–57) [8]
Patients with IOL	11 (2–19) [26]	14 (2–27) [21]	23 (5–43) [14]	27 (8–44) [11]
Unilateral surgery, procedures/examinations in general anaesthesia				
All patients	2 (0–6) [44]	2 (0–6) [33]	0 (0–7) [25]	3 (0–8) [19]
Patients with aphakia	2 (0–6) [18]	3 (0–6) [12]	3 (0–7) [11]	5 (1–8) [8]
Patients with IOL	2 (0–5) [26]	2 (0–4) [21]	3 (0–6) [14]	3 (0–6) [11]

Numbers are given in median (range) and [number of children].

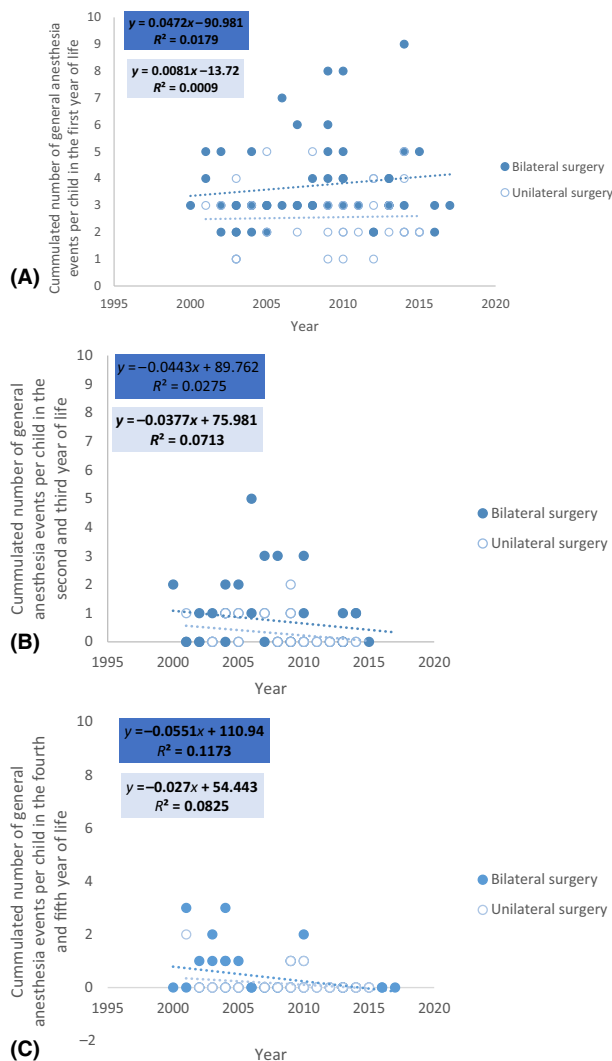


Fig. 2. Distribution of the number of general anaesthesia events per child diagnosed and operated ≤1 year of age according to year of birth. Linear tendency lines for the bilateral and unilateral groups are added. (A) Follow-up for the first year of life. (B) Follow-up in the second and third year of life. (C) Follow-up in the fourth and fifth year of life. Icare tonometer was introduced in our clinic in 2007.

anaesthesia events was consistently higher in bilateral patients compared to unilateral at all time periods. For the first year of life (Fig. 2 top) the number of examinations increased for children with bilateral cataracts and was stable for children with unilateral cataract from 2000 to 2017. For the age groups $1 \leq 3$ and $3 \leq 5$ years the need for general anaesthesia decreased with time both for bilateral and unilateral children and a large proportion had no general anaesthesia procedures at all, in particular if they were born in more recent years.

Discussion

We performed a retrospective chart review of all children with congenital

cataract born between 2000 and primo 2017 seen and operated at our institution. We found that children who underwent surgery early in life had the highest number of outpatient visits and that some would need check-ups as regularly as every month throughout childhood. Especially, the first year in life was burdened by frequent outpatient visits with as many as 1/4 of children with bilateral surgery and 1/3 of children with unilateral surgery needing check-ups at least every second week. The need for monitoring tapered as expected over the years and was less for children who were diagnosed with cataract later in childhood.

Around 1/3 of the children were diagnosed late between 3 and 7 years of age, compared to children receiving

the diagnosis earlier in life, for example, the first year of life. Half of those were not operated. To prevent implication and progression of the disease, we need to detect and operate children with congenital cataract early in life. In Denmark, children are screened for congenital cataract using a pencil light only by the general practitioner at 5 weeks of age instead of incorporating screening in maternity wards and well-baby clinics by examining red light reflexes as in Sweden (Magnusson et al. 2013). Visual screening is performed at 3, 4 and 5 years of age by a general practitioner and when the child begins school (age 6) by a school nurse. Although it is not possible to determine with certainty that cataract diagnosed later in childhood was already present at birth, a large number of those diagnosed late had posterior subcapsular cataracts with or without persistent foetal vasculature. These numbers seem to suggest that children are diagnosed as a consequence of visual screening rather than the 5-week screening. It has previously been documented that children are diagnosed later in Denmark compared to neighbouring Sweden.

The Infant Aphakia Treatment Study found that additional intraocular surgeries were performed more frequently in the IOL group (Plager et al. 2014). We found no significant differences between children with IOL or aphakia with respect to the number of outpatient visits or examinations in general anaesthesia. In our institution, surgeries with IOL implantations were usually performed in children over the age of 6 months while children below 6 months of age were typically left aphakic. Thus, in our study children operated early in life were generally aphakic and children operated later in life generally had an IOL. Complications, for example, visual axis opacification and glaucoma, is often considered to be more common in children operated at an early age. It may also be that complicated eyes are left aphakic, and these eyes may require a closer follow-up.

In other words, the difference between ours and other studies may be related to age differences as to which groups received IOLs or were left aphakic rather than the effect of implanting an IOL or not on the number of procedures and examinations in general anaesthesia in children with IOL.

It may not be risk-free having infants undergoing general anaesthesia (Loepke & Soriano 2008). Therefore, the benefits of thorough examinations in general anaesthesia need to be balanced with the risk of repeat anaesthesias. Some studies suggest that general anaesthesia in early childhood often affects the intelligence, growth and wellbeing (Loepke & Soriano 2008; Sun 2010; Backeljauw et al. 2015). The general anaesthesia events should be limited to must be performed only when needed (Hackel et al. 1999). The most common cause for general anaesthesia was a need for thorough examinations. The majority of operated children in our cohort had surgery within the first year of life. Small children may be more difficult to examine and since early treatment of complications is mandatory, repeated anaesthesia may be warranted. Over the years, more handheld examinations devices have become available, and we found that the need for anaesthesia was reduced from 2000 to 2017 in children older than 1 year, while the need was stable in the first year of life.

Conclusion

Children who had surgery for congenital and childhood cataracts had more hospital visits and more procedures or examinations with anaesthesia

regardless of whether surgery was uni- or bilateral or whether an IOL was implanted.

The families do need to be prepared for a long course with many visits and some interventions.

Some children were seen monthly for their first 7 years.

We found that families may benefit from counselling at the time of diagnosis on the need for frequent hospital visits. This is especially the case with children of vulnerable families with unilateral cataract where the prognosis is poor and repeat examinations and surgery are frequent.

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PAPER II

Socio-economic status in families affected by childhood cataract

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ABSTRACT.

Purpose: To investigate the socio-economic status of families affected by childhood cataract and to assess how the socio-economic status is affected by cataract diagnosis.

Materials and methods: Children born between 2000 and 2017, seen between the age 0 and 10 years in the same period at Rigshospitalet or Aarhus University Hospital for cataract ($N = 485$), were included and compared to a matched children group without cataract ($N = 4358$). Socio-economic status was evaluated by the parents' income, employment, education, marital status and family structure.

Results: Parents of children with cataract were more likely to have a low yearly income ($OR = 1.60$, 95% CI (1.12–2.27)), be out of work ($OR = 1.74$, 95% CI (1.34–2.26)) and have basic education as the highest attained education ($OR = 1.64$, 95% CI (1.27–2.13)) prior to diagnosis. This social gradient was not affected by the diagnosis. In addition, a higher number of children with cataract lived in multi-family residencies (13.8% versus 8% in group of children without cataract) and they had a greater number of siblings (6.2% had ≥ 4 siblings versus 2.1% in group of children without cataract).

Conclusion: Families affected by childhood cataract have a lower socio-economic status and educational background even before cataract is diagnosed but the diagnosis does not aggravate the differences between these families and the background population. The lower socio-economic status and parental educational background should be taken into consideration in the management of these families.

Key words: childhood cataract – congenital cataract – socio-economic status

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Introduction

Congenital and childhood cataracts affect not only the child but also the immediate family. Having a child with congenital cataract often puts serious

strain on the family as they have to handle the burden of disease with many years of hospital follow-up, visual stimulation and patching for amblyopia (Yorston 2004; Al-Bakri et al. 2019). This may affect the family's

socio-economic status as parents are forced to care for their child rather than to be at work. It is therefore relevant to examine the socio-economic conditions of families affected by childhood cataract. Socio-economically disadvantaged individuals often face a higher risk of disease and healthcare access inequalities (Bourdieu 1986; Pillas et al. 2014; Zablotsky et al., 2019).

Several studies examine the socio-economic status of families to children with visual impairment/blindness and systemic diseases (Dandona & Dandona 2001; Cumberland et al. 2010; Pillas et al. 2014; Bountziouka et al. 2017; Solebo et al. 2017). But to the best of the authors' knowledge, no study has been conducted to examine childhood cataract/congenital cataract and socio-economic status.

The first aim of this study was to evaluate the social gradient in congenital and childhood cataract by examining the socio-economic status of the families prior to cataract diagnosis. The second aim was to evaluate whether the socio-economic status of the families was affected by the diagnosis.

Materials and Methods

A registry study was conducted by reviewing the socio-economic status of the families to children with congenital or childhood cataract diagnosed between the age of 0–10 years and born between 1 January 2000 and 31 December 2017. We included children seen at

Rigshospitalet and Aarhus University Hospital. Management of childhood cataract is a highly specialized function in Denmark with the two centres performing all surgeries. For each child affected by cataract, 10 control children from the background population without cataract were included and matched on age at the time of the child's diagnosis, sex, country of birth and municipality (Fig. 1).

Socio-economic information on parents was obtained from the Danish National Administrative Databases (Statistics Denmark). These databases include among many other data records on income, health care, birth, work, education, civil status, place of residence for each resident of Denmark. In Denmark, each resident has a unique identification number (Central Person Registry, CPR number). The CPR makes it possible to track all residents of Denmark and to link children and parents.

The study was approved by the Danish Data Protection Agency (RH-2016-336; I-Suite # 05070), and the Danish Patient Safety Authority (3-3013-1935/1/NAAN). According to the Committee on Health Research Ethics in the Capital Region of Denmark, ethical board review was not required as the study was a registry study and did not include patient examinations. The study followed the tenets of the Helsinki Declaration.

Geographic area of birth

For each child, country of birth was registered. Children not born in

Denmark were grouped according to geographical birth area: Europe, Middle East, Africa and Far East.

Parental income

Household financial resources were inventoried as the disposable personal income (DPI) per adult over the full calendar year. The DPI is the amount of money that households have available for spending and saving after taxes have been accounted for. The mean DPI in Denmark in 2018 was 227194 DKK/year (Denmark 2020). For cohabiting parents, we used the average DPI in the household; for separated parents and parents living apart, we used the highest DPI. We analysed the income by two dichotomizations: $\leq 99\,999$ DKK/year versus $\geq 100\,000$ DKK/year and $\leq 499\,999$ DKK/year versus $\geq 500\,000$ DKK/year.

Parental employment status

The employment status of a family was inventoried as the employment status of the parents at the beginning of the calendar year. The family was classified as unemployed if both parents were unemployed. Parents were defined as unemployed if they were registered as unemployed for up to six months, received unemployment benefits, had retired early or if they were students.

Parental highest attained education

The educational background of families was defined as the highest attained education between the parents.

Education was grouped into primary education (up to 9th grade), high school, vocational (technical) education, short-term higher education programmes (e.g. diploma programmes), medium-term higher education programmes (e.g. professional bachelor programmes such as nursing and teaching), and bachelor and long-term higher education programmes (e.g. bachelor programmes at universities, graduate programmes and PhD programmes). We analysed the education by two dichotomizations, *basic education*, where parents had completed the compulsory 9 years of schooling versus education higher than basic education, and *advanced education*, where parents had completed a university degree education (bachelor and long-term higher education) versus education lower than advanced education.

Number of siblings

The number of children living in the household (with minimum one adult registered) was defined as the number of persons ≤ 24 years of age without children of their own.

Family structure

Civil status was grouped into single, married, cohabiting and multi-family residential based on the mother's household type. A household includes all persons living at the same address. Multi-family residential was used to describe when more than one family lived at the same address, for example grandparents with children and grandchildren. The civil status was further divided into two groups: single and not single (married, cohabiting couple & multi-family residential).

Statistical methods

Unadjusted comparisons of the demographic and socio-economic characteristics of the children and their families at baseline between children with and without cataract were performed by Pearson's chi-squared tests. The socio-economic variables were each assessed before diagnosis time, one and three years after the diagnosis was made. The differences in socio-economic variables were investigated in multi-variable logistic regression models using generalized estimating equation (GEE)

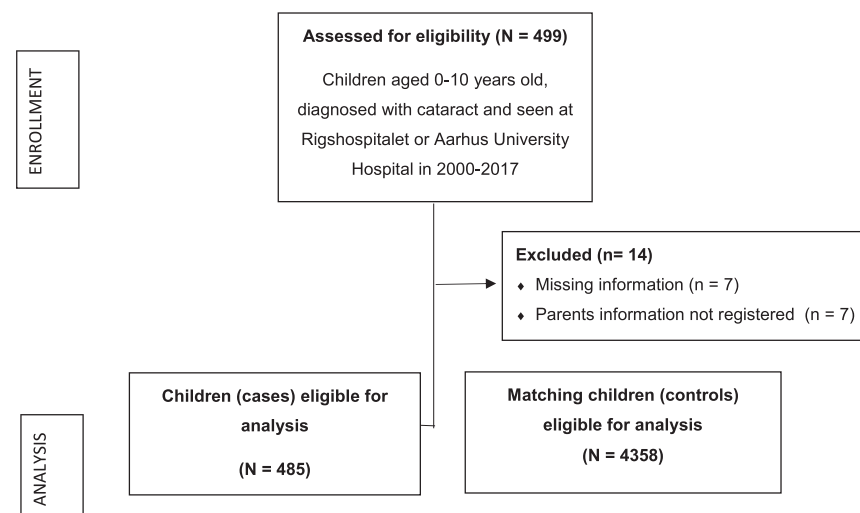


Fig. 1. Flow chart showing inclusion and exclusion of the study population.

methods to adjust for the clustering inherent to the matching of children with cataract and children without cataract, and to the repeated assessments of each included child. The model was parameterized so that the assessments at the one- and three-year time-points were adjusted for differences already present in the year preceding the diagnosis. The assessments at the follow-up time-points one and three years after the diagnosis was made report on the developments in the socio-economic variable attributable to congenital cataract diagnosis. Results are reported both unadjusted and adjusted for: age, sex, municipality, season, calendar year and ethnicity. Statistical analyses were made using the R software package, V.3.4.1 (The R Foundation for Statistical Computing, <http://www.r-project.org>). The significance level was set at <0.05 .

Results

We included 485 children with cataract (243 boys and 242 girls) and 4358 children without cataract (2177 boys and 2181 girls) matched by age at the time of cataract diagnosis, sex, municipality and geographical origin of birth. Baseline demographics of the study population is shown in Table 1. We did not find a significant difference in any of the parameters in Table 2 when comparing boys and girls, why the children were pooled in one group.

Geographic area of birth

Significantly more children with cataract were born outside Denmark compared to children without cataract (p -value = 0.01) with Middle East as the most frequent birth place (6.8% in group of children with cataract versus 4.3% in group of children without cataract) followed by Far East (2.1% in group of children with cataract versus 1.7% in group of children without cataract), Europe (1.9% in group of children with cataract versus 0.9% in group of children without cataract) and Africa (1.0% in group of children with cataract versus 0.6% in group of children without cataract) – see Table 1.

It was not possible to analyse for socio-economic differences between children from each birth place due to the small numbers in the different

groups (Table 1). However, when pooling children with cataract in two groups (children born in European countries including Denmark and children born in Middle East, Africa and Far East), we found that the parents of the group of children from Middle East, Africa and Far East had lower education, lower income and were more often unemployed compared to the European group ($p < 0.05$). There was no significant difference in civil status.

Parental income

The prevalence of low parental income ($\leq 99\,999$ DKK/year) was higher among children with cataract compared to children without cataract before the diagnosis was made (OR = 1.60, 95% CI (1.12–2.27), p -value = 0.03), see Table 2. The diagnosis of cataract was not seen to lower income further, see Table 2.

Parental employment status

We found a higher tendency towards more parents of children with cataract being outside the workforce prior to the cataract diagnosis (OR = 1.74, 95% CI (1.34–2.26), p -value < 0.0001). This remained unchanged one and three years after diagnosis, see Table 2.

Parental highest attained education

A significantly larger proportion of parents of children with cataract had ≤ 9 years of schooling (basic education) as the highest attained education prior to the cataract diagnosis was made (OR = 1.64, 95% CI (1.27–2.13), p -value = 0.003), see Table 2.

Number of siblings

More children with cataract came from families with a higher number of children (6.2% had ≥ 4 siblings versus 2.1% in group of children without cataract, p -value = 0.0005).

Family structure

More children with cataract lived in multi-family residencies compared to the group of children without cataract (13.8% versus 8%, p -value = 0.001). There was no difference in civil status of parents (p -value = 0.19), see Table 1.

Discussion

In this registry study, we compared the socio-economic status in families of children with cataract to a background population without childhood cataract matched by age at the time of cataract diagnosis. We found that families of children with cataract had lower income, lower parental educational levels, were more likely to be outside the workforce and to live in multi-family residencies with a higher number of children per family. In addition, more children with cataract were born outside Denmark. This points to striking socio-economic differences between families affected by childhood cataract and the background population. We found that the differences between families were present already before the cataract diagnosis was made and that the diagnosis did not aggravate the differences.

Growing up in social disadvantage may lead to overall health and developmental disadvantage for the rest of life (Kuh et al. 2003). A systematic review based on 201 studies provided strong evidence between multiple adverse social factors and poorer health/developmental outcomes through early childhood (Pillas et al. 2014). In our study, we found that parents of children with cataract had a 60% higher risk of having a low income compared to children without cataract. It is not uncommon for diseases to strike mainly in lower socio-economic groups; for example, children living in a household $<200\%$ of the federal poverty level are more likely to be diagnosed with developmental disability (Zablotsky et al., 2019). Eye diseases including hypermetropia and amblyopia are also more common in families from low socio-economic status (Williams et al. 2008; Cumberland et al. 2010).

Lower income is typically related to low rate of participation in the workforce and to lower educational level. We found that parents of children with cataract had a 74% higher risk of being unemployed prior to diagnosis compared to children without cataract. Unemployed parents are typically associated with poorer health and developmental disabilities in children which could be due to the parents' limited resources (Delpisheh et al. 2006). In some countries, low income or being

Table 1. Baseline characteristics of children with cataract and children without cataract

	Children with childhood cataract (<i>N</i> = 485)	Children without childhood cataract (<i>N</i> = 4358)	Missing	p-value*
Age at diagnosis [†] , <i>n</i> (%)				
0 years	229 (47.2)	2077 (47.7)	0	0.98
1–2 years	66 (13.6)	553 (12.7)		
3–4 years	68 (14.0)	632 (14.5)		
5–7 years	62 (12.8)	562 (12.9)		
>7 years	60 (12.4)	534 (12.3)		
Age (years), median (IQR)	1.22 (0.19–5.01)	1.18 (0.19–5.03)	0	0.99
Sex, <i>n</i> (%)				
Boy	243 (50.1)	2177 (50.0)	0	0.99
Girl	242 (49.9)	2181 (50.0)		
Geographical origin, <i>n</i> (%)				
Denmark	428 (88.2)	4030 (92.5)	0	0.01
Europe	9 (1.9)	38 (0.9)		
Middle East	33 (6.8)	189 (4.3)		
Africa	5 (1.0)	27 (0.6)		
Far East	10 (2.1)	74 (1.7)		
Number of siblings, <i>n</i> (%)				
No siblings	56 (11.5)	457 (10.5)	0	0.0005
1–2 siblings	314 (64.7)	3258 (74.8)		
3–4 siblings	85 (17.5)	553 (12.7)		
>4 siblings	30 (6.2)	90 (2.1)		
Number of siblings, median (IQR)	1 (0–5)	1 (0–4)	0	0.002
Parental Civil status, <i>n</i> (%)				
Single	52 (11.0)	519 (12.0)	42	0.001
Married	222 (47.1)	2182 (50.4)		
Cohabiting couple	132 (28.0)	1282 (29.6)		
Multi-family residential [‡]	65 (13.8)	347 (8.0)		
Parental average income [§] , <i>n</i> (%)				
<100 000 DKK	36 (7.6)	187 (4.3)	28	0.02
100 000–200 000 DKK	170 (35.7)	1520 (35.0)		
200 000–300 000 DKK	185 (38.9)	1830 (42.2)		
300 000–500 000 DKK	77 (16.2)	707 (16.3)		
>500 000 DKK	8 (1.7)	95 (2.2)		
Income (DKK), median (IQR)	211.275 (149.625–267.324)	221.345 (168.231–277.433)	28	0.02
Parental work status, <i>n</i> (%)				
Outside workforce	58 (12.0)	253 (5.8)	3	0.00002
One parent at work	100 (20.7)	880 (20.2)		
Both parents at work	325 (67.3)	3224 (74.0)		
Highest attained parental education, <i>n</i> (%)				
Primary education (up to 9th grade)	71 (15.1)	390 (9.0)	41	0.002
High school	18 (3.8)	175 (4.0)		
Vocational education	133 (28.3)	1313 (30.3)		
Short-term higher education	38 (8.1)	364 (8.4)		
Medium-term higher education	94 (20.0)	959 (22.1)		
Bachelor	102 (21.7)	1041 (24.0)		
Long-term higher education	14 (3.0)	90 (2.1)		

* p-Value: Pearson's chi-squared test for categorical variables, t-test for continuous variables.

[†] Age at diagnosis: Controls are matched by the age at diagnosis for patients.

[‡] Multi-family residential: When one or more families are living with the examined family.

[§] 1 Danish Krone corresponds to 0.13 Euro.

outside the workforce may reduce the access to health care services. In Denmark, healthcare expenditures are financed via the tax system and receiving health care is free of charge to individual patients. The level of health-care provision is the same for all irrespective of income, insurance status or socio-economic status. This is in contrast with the United States where healthcare access inequalities was

described in families with lower income (Zablotsky et al., 2019).

In our study, it was also remarkable to find that fewer parents of children with cataract had completed schooling beyond basic education. This was in agreement with findings from an American study where parents with less than high school education were more likely to have children with blindness (Zablotsky et al., 2019). In India, lower socio-

economic status and lower education levels were important barriers to affect the care-seeking behaviour among parents to children with paediatric cataract (Wang et al. 2019). In a 5-year follow-up period, children with cataract from low socio-economic groups had poorer follow-up compared with the high socio-economic group due to lack of awareness (Chougule et al. 2018). Lower educational background may result in a poor

Table 2. Selected socio-economic indicators of the families to children with cataract and children without cataract at the time before the diagnosis and adjusted for baseline differences one year and three years after the diagnosis

	Children with childhood cataract (N = 485)				Children without childhood cataract (N = 4358)				Crude OR (95% CI)*	p-value	Adjusted OR (95% CI) [†]	p-value
	n	%	n	%	N	%	n	%				
Low Income	≤99 999 kr.		≥100 000 kr.		≤99 999 kr.		≥100 000 kr.					
Prediagnosis	36	7.6	440	92.4	187	4.3	4152	95.7	1.60 (1.12–2.27)	0.01	1.52 (1.05–2.20)	0.03
1 year after diagnosis	32	6.7	447	93.3	155	3.6	4202	96.4	1.06 (0.71–1.58)	0.79	1.05 (0.69–1.61)	0.80
3 years after diagnosis	21	5.2	380	94.8	92	2.5	3565	97.5	1.18 (0.71–1.96)	0.52	1.15 (0.68–1.92)	0.60
High Income	≤499 999 kr.		≥500 000 kr.		≤499 999 kr.		≥500 000 kr.					
Prediagnosis	468	98.3	8	1.7	4244	97.8	95	2.2	1.27 (0.61–2.65)	0.53	1.22 (0.58–2.56)	0.60
1 year after diagnosis	471	98.3	8	1.7	4262	97.8	95	2.2	1.00 (0.44–2.24)	0.99	1.00 (0.44–2.25)	1.00
3 years after diagnosis	388	96.8	13	3.2	3553	97.2	104	2.8	0.68 (0.30–1.56)	0.36	0.67 (0.28–1.56)	0.35
Civil status	Single		Cohabiting parents		Single		Cohabiting parents					
Prediagnosis	52	11.0	419	89.0	519	12.0	3811	88.0	0.90 (0.67–1.20)	0.47	0.82 (0.60–1.11)	0.19
1 year after diagnosis	49	11.2	388	88.8	477	11.9	3517	88.1	1.03 (0.81–1.30)	0.84	1.03 (0.81–1.32)	0.80
3 years after diagnosis	56	15.7	300	84.3	479	14.6	2801	85.4	1.20 (0.89–1.63)	0.24	1.23 (0.89–1.69)	0.21
Workforce	Outside		At work		Outside		At work					
Prediagnosis	58	12.0	425	88.0	253	5.8	4104	94.2	1.74 (1.34–2.26)	< 0.0001	1.81 (1.32–2.50)	0.0003
1 year after diagnosis	45	10.1	401	89.9	221	5.5	3786	94.5	0.87 (0.68–1.12)	0.29	0.85 (0.62–1.16)	0.30
3 years after diagnosis	38	10.4	327	89.6	172	5.2	3122	94.8	0.94 (0.69–1.28)	0.69	0.94 (0.64–1.37)	0.74
Highest attained education	Basic education		Higher		Basic education		Higher					
Prediagnosis	71	15.1	399	84.9	390	9.0	3942	91	1.64 (1.27–2.13)	0.0002	1.55 (1.16–2.08)	0.003
1 year after diagnosis	65	14.9	370	85.1	335	8.4	3663	91.6	1.06 (0.96–1.18)	0.27	1.07 (0.95–1.20)	0.27
3 years after diagnosis	47	13.1	311	86.9	250	7.6	3037	92.4	1.00 (0.82–1.23)	1.00	1.00 (0.80–1.26)	0.99
Highest attained education	Lower		Advanced education		Lower		Advanced education					
Prediagnosis	354	75.3	116	24.7	3201	73.9	1131	26.1	1.05 (0.86–1.30)	0.63	1.01 (0.82–1.26)	0.87
1 year after diagnosis	330	75.9	105	24.1	2944	73.6	1054	26.4	1.04 (0.98–1.11)	0.22	1.05 (0.98–1.12)	0.20
3 years after diagnosis	267	74.6	91	25.4	2407	73.2	880	26.8	1.00 (0.89–1.12)	0.93	1.00 (0.88–1.12)	0.93

* The OR at the first and third year after diagnosis refers to unchanged difference in the socio-economic status presented at the time before the diagnosis between children with cataract and children without cataract.

[†] Adjusted for age at diagnosis, sex, birth origin, diagnosis year, diagnosis season and number of siblings; categorized as indicated in Table 1. Bold values denote statistical significance.

understanding of the risks associated with congenital or childhood cataract and it may impact how parents recognize symptoms of cataract or its complications, for example glaucoma or visual axis opacification, and how parents cope and comply with the treatment and follow-up, for example compliance in amblyopia treatment (Tjiam et al. 2011).

Children with cataract came from families with a significantly higher number of siblings. We did not find a difference in parental marital status, but more cataract children lived in multi-family residences. Some of differences in family structure observed in this study could possibly be explained by a larger proportion of children with cataract being born outside Denmark as immigrants may have other family structures (Landale et al. 2011). Others have found that the risk of visual impairment is higher among ethnic minority group (Cumberland et al. 2010).

Strengths and limitations

Our study was a registry study based on information collected consecutively and regularly by Statistics Denmark that has access to a large amount of data on the Danish population. Our study provided representative and nearly complete data for the target population with limited risk for selection, attrition and no-response bias. The study has a large sample size and because the data were updated and collected yearly, we were able to distinguish between the social gradient present before cataract was diagnosed and the separate effect of cataract after diagnosis. To ensure that socio-economic differences were not caused by low maternal income due to maternity leave, the cataract children were matched to children without cataract according to the age at time of diagnosis.

Conversely, this study also has some limitations. We intended a study design where children with cataract were

matched to children from the background population matched by age, municipality and birth origin. However, it proved impossible to match by birth origin as children with cataract were born outside Denmark much more often than it was possible to find children without cataract born outside Denmark and living in the same municipality. Thus, we adjusted for that in our analysis. We evaluated the socio-economic status up to three years after cataract diagnosis. A longer perspective would have been welcome, but it was not possible because 25% of cataract cases were diagnosed so recently that they would be recorded as missing data at a five-year follow-up time-point.

Conclusion

We found that Danish families affected by childhood cataract were more likely to be part of a low-income population with the parents being outside the

workforce and with a low parental educational level but with a larger number of siblings. This socio-economic difference was present before cataract was diagnosed and it persisted after the diagnosis. Deprived families with lower educational level may require individualized support in clinical settings, for example longer consultation time to process the information provided, shorter follow-up visit intervals in order to have tighter control on treatment, for example amblyopia therapy, and in general offer holistic consultations, ensuring the best possible course for the children and their families. The society may pay attention to those challenges in public health in order to minimize the socio-economic costs and inequality.

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PAPER III

1 **Increased incidence of mental disorders in children with** 2 **cataract – findings from a population-based study**

3
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17
18 **Key words:** Congenital cataract, childhood cataract, mental disorders, neurodevelopmental
19 disorders, autism spectrum disorders, ADHD, anxiety, developmental delay.

24 **KEY POINTS**

25 **Question:** Is there a higher incidence of mental disorders among children with cataract?

26 **Findings:** This cohort study based on 485 children with cataract and 4358 without cataract found a
27 two-fold increase in incidence of mental disorders

28 **Meaning:** Our findings highlight the need for clinical attention to mental health and neurodevelopment in
29 children with cataract as an integrated part of the management along with refractive and visual rehabilitation.

30 **ABSTRACT**

31 **Importance:** Childhood cataract is a chronic disease with marked impact on the child and family.
32 Little is known about the risk of mental disorders in this group of children which lead to this study.

33 **Objective:** To examine the incidence of mental disorders in children with cataract compared to sex-
34 and age-matched children without cataract, taking somatic disease in the child, parental socio-
35 economic status and psychiatric morbidity into account.

36 **Design:** Nationwide cohort study evaluating mental health based on entries in comprehensive
37 national databases.

38 **Setting:** The two university hospitals in Denmark managing children ≤ 6 years of age with cataract
39 in (Rigshospitalet, Copenhagen and Aarhus University Hospital, Aarhus).

40 **Participants:** Children born from January 1, 2000 to December 31, 2017 and diagnosed with
41 cataract before 10 years of age (N=485) were included and compared to a sex- and age-matched
42 background population (N=4358). Children with missing data were excluded (N=14).

43 **Main Outcomes and Measures:** We evaluated the risk of mental disorders in cataract group
44 compared to controls using logistic regressions models.

45 **Results:** The incidence of any mental disorder (21.8%) was higher in children with cataract and
46 somatic comorbidities compared to children with isolated cataract (8.4%). The incidence of mental
47 disorders was nearly doubled in children with cataract compared to controls (OR = 1.83 95% CI
48 (1.28 – 3.63)). The risk of anxiety disorders was quadrupled (OR = 4.10, 95% CI (1.90 - 8.84)) and
49 the risk of developmental delay was doubled (OR = 2.66, 95% CI (1.45 - 4.90)). The risk was
50 significantly higher in children diagnosed with cataract in the first 3 years of life compared to

51 controls (OR = 2.36, 95% CI (1.53 - 3.64)), whereas difference was found for those diagnosed later
52 in childhood.

53 **Conclusions and Relevance:** The risk of mental disorders, in particular anxiety and
54 neurodevelopmental delay, is markedly increased in children with cataract and even more so in
55 those diagnosed within the first three years of life. Psychiatric screening instruments may be
56 integrated in the management of these children.

57 INTRODUCTION

58 Clinical and research evidence point to an increased risk of mental health problems and disorders in
59 children and adolescents suffering from chronic diseases ¹⁻⁴. Thus, attention deficit/hyperactivity
60 disorders (ADHD) and autism spectrum disorders (ASD) are more frequent among children with
61 cerebral palsy ⁵, and anxiety and depression have been found in one of four children with epilepsy ⁶.
62 In children with diabetes type I, an increased risk of anxiety, mood disorders and eating disorders
63 have been shown in the years following disease onset ⁷.

64
65 Childhood cataract is a significant cause of visual disability in infancy and early childhood,
66 affecting approximately 200,000 children worldwide ⁸. It is a chronic condition requiring intensive
67 management ⁹. Visually disturbing cataracts can be removed by surgery ¹⁰ but prolonged and
68 intensive visual and optical rehabilitation is required ^{11,12}. Childhood cataract can occur isolated or
69 can be seen in combination with somatic comorbidities ¹³ that may be associated with
70 neurodevelopmental disorders ¹⁴⁻²⁰. Most cases of childhood cataract occur in early childhood ²¹,
71 which is also the period of onset of several childhood mental disorders, in particular
72 neurodevelopmental disorders ²². Children with cataract are more likely to come from a socio-
73 economically disadvantaged background ²³ which may further increase the risk of pediatric child
74 mental disorders ^{24,25}.

75
76 Two questionnaire studies based parental reporting found a higher risk of conduct problems,
77 learning problems, psychosomatic, impulsiveness/hyperactivity and anxiety problems in children
78 with cataract compared to children with normal vision ²⁶ and a lower level of psychosocial health in
79 children with cataract which was similar to the psychosocial health level of children with other
80 severe somatic diseases like rheumatological disease and cancers ²⁷.

81

82 The aim of the present study was to examine the risk of mental disorders in children with childhood
83 cataract compared to children without cataract, using Danish population registries and taking into
84 account potential confounders such as parental socio-economic status, family psychiatric load and
85 the children's somatic comorbidity.

86

87 **MATERIALS AND METHODS**

88 *Study population*

89 We included patients born from January 1, 2000 to December 31, 2017, who were diagnosed with
90 cataract before age 10 years at one of the two hospitals that manage all children with cataract in
91 Denmark: Rigshospitalet, Copenhagen and Aarhus University Hospital, Aarhus. In Denmark, each
92 person has a unique identification number (CPR number). The CPR number is used in national
93 administrative registries which makes it possible to link data from individuals accurately between
94 registries. In addition, children are linked to their parents by the CPR number.

95

96 *Control population*

97 For each child with cataract, a sample of 10 children without cataract matched by age, sex and
98 municipality were sampled from the general Danish population by Statistics Denmark ²⁸.

99

100 *Data from national population registries*

101 We used data from the following national registries: *National Patient Registry*, NPR, which
102 contains information about diagnostic and procedural ICD-10 codes on all contacts to public
103 hospitals, as in- or out-patient and including emergency settings. The *Population Registry* was used
104 to access information about child sex, geographical birth origin and parental socio-economic status.

105 Information regarding parental work status was extracted from *AKM registry (work classification*
106 *module)*. Parental civil status was obtained from *BEF registry* (population registry) and information
107 on parental income was obtained from the *income registry*. In addition, we extracted information on
108 parental mental disorders from NPR. See **Supplementary Table 1** for details. Any variables with
109 <5 observations cannot be tabulated according to the policy of Statistics Denmark.

110

111 ***Mental disorders***

112 Presence and type of mental disorders diagnosed during the first 10 years of life were assessed
113 using the ICD-10 diagnostic codes (F00-F99 and R41.8, R62.0, R62.9) listed in the NPR. The latter
114 codes for unspecific developmental delays are commonly used among Danish pediatricians and
115 child psychiatrists to categorize unspecific developmental delay in younger children who often have
116 more subtle and unspecific symptoms of developmental delays compared to older children ²⁹. In
117 accordance with the latest version of the international classification schemes ^{30,31} and recent
118 research in the field ^{7,32}, we grouped the mental disorders in neurodevelopmental and other mental
119 disorders:

120

121 *Neurodevelopmental disorders*: intellectual disability (F70–F79), specific developmental disorders
122 (F80–F83), autism spectrum disorders (ASD) (F84), unspecified developmental disorders (F88–
123 F89), hyperkinetic disorder (F90), and unspecific developmental delay (R41.8, R62.0, R62.9).

124

125 *Other mental disorders*: psychoactive substance misuse (F10–F19), psychotic disorders (F20–F29),
126 mood disorders (F30–F39), anxiety, dissociative, stress-related and somatoform disorders (F40–
127 F48), eating disorders (F50), sleep disorders and medication abuse (F51–59), personality disorders
128 (F60–F69), and other mental or behavioral disorders (F91–F99).

129

130 The term “*any mental disorders*” included all above-mentioned diagnostic codes.

131

132 Notably, a child could be diagnosed with two or more different mental disorders. But each child
133 could be counted only once in the analysis of overall incidence of any mental disorder. When
134 exploring the risk of specific psychiatric disorders, each child could figure in more than one
135 subgroup if they had more than one diagnosis, but only once in each category.

136

137 ***Somatic comorbidities***

138 Since childhood cataract is often found in association with systemic disease or as part of a
139 syndrome, we sub-grouped the cataract population into 1) children with isolated cataract and 2)
140 children with cataract and severe somatic comorbidities, see **Supplementary Table 2** for details.

141

142 **Confounders**

143 Some somatic comorbidities were potential confounders (disease confounders) as either the disease
144 itself or its treatment may increase the risk of cataract as well as mental disorders. This group
145 included: interstitial lung disease (J84.9 + J84.8 + J84.1) due to treatment with high doses of
146 prednisolone^{33,34}, congenital rubella syndrome (P35.0 + B06.0 + B06.8 + B06.9)¹⁵⁻¹⁷, congenital
147 cytomegalovirus infection (B25.0 + B25.1 + B25.2 + B25.8 + B25.9 + P35.1)^{15,18}, degenerative
148 disease of the nervous system (G31 + G31.1 + G31.9)^{35,36} and cancer in brain or meninges due to
149 radiation therapy (C70-72.9 + C76.0 + C69)³⁷⁻³⁹, microcephaly (Q02.9), megalencephaly (Q04.5),
150 Smith-Lemli-Opitz’ syndrome (Q87.1I), trisomy 21 (Q90.0-90.2), Down’s syndrome (Q90 +
151 Q90.9) and autosomal trisomies (Q92-92.9).

152

153 Children with cataract have previously been shown to be more likely to come from socio-
154 economically disadvantaged families²³ and many pediatric mental disorders are more prevalent in
155 families of lower socio-economic status^{24,25}. Hence, in addition to disease confounders, the
156 statistical analyses were also adjusted for the geographical birth location of the child, parental
157 socio-economic status (income, employment and civil status). Definition of these variables were
158 described previously in detail²³ and are available in **Supplementary Table 3**.

159
160 As parental mental disorders may predispose to child mental disorder⁴⁰ we adjusted for any mental
161 disorders diagnosed at hospital (F00-F99) in one or both parents.

162 163 *Statistical method*

164 The association between childhood cataract and mental disorders was assessed using odds ratio
165 (OR) with 95% confidence interval (95% CI) in unadjusted analyses and in conditional logistic
166 regression models including age at onset of cataract, sex and the abovementioned confounders.
167 Adjusted and unadjusted analyses were repeated separately in each of the two cataract onset age
168 groups; 0-3 years and 4-10 years, a test for interaction was added. Statistical analyses were made
169 using the R software package, V.3.4.1 (The R Foundation for Statistical Computing, [http://www.r-](http://www.r-project.org)
170 [project.org](http://www.r-project.org)). The significance level was set at < 0.05.

171 172 *Approvals*

173 The study was approved by the Danish Data Protection Agency (RH-2016-336; I-Suite # 05070),
174 and the Danish Patient Safety Authority (3-3013-1935/1/NAAN). According to the Committee on
175 Health Research Ethics in the Capital Region of Denmark, ethical board review was not required
176 (decision number: 16038234). The study followed the tenets of the Helsinki Declaration.

177

178 RESULTS

179 We included 485 children (243 boys and 242 girls) with childhood cataract and an age- and sex-
180 matched control group (N = 4358, 2177 boys and 2181 girls) drawn from the background
181 population. Baseline characteristics of the study population are listed in **Table 1**. No difference in
182 the parental mental health was found between the two groups. Severe somatic comorbidities in the
183 cataract group are listed in **Supplementary Table 2**.

184

185 The incidence of any mental disorders (21.8%), neurodevelopmental disorders (15.4%) and other
186 mental disorders (9.0%) was significantly higher in children with cataract who have somatic
187 comorbidities compared to children with isolated cataract (any mental disorders: 8.4%,
188 neurodevelopmental disorders: 5.8% and other mental disorders: 2.3%), see **Table 2**.

189

190 Children with cataract were twice as likely to have a mental disorder as children without cataract,
191 10.5% (n = 51/485) and 5.2% (n = 225/4358), respectively, with OR = 1.83, 95% CI (1.28 – 2.63),
192 p-value = 0.0009 in analyses adjusted for geographical birth origin, somatic disease confounders,
193 socio-economic status and parental mental disorders. A particular high incidence of mental
194 disorders was seen in children diagnosed with cataract during their first 3 years of life (10.7%, n =
195 35/327), compared to age-matched children without cataract (4.1%, n = 118/2912), OR = 2.36, 95%
196 CI (1.53 - 3.64), p-value = 0.0001, whereas we did not find a significantly increased risk in children
197 diagnosed with cataract after three years of age compared to an age-matched cohort, see **Table 3**.

198

199 The risk of neurodevelopmental disorders was doubled in the cataract group, OR = 2.05, 95% CI
200 (1.35 – 3.11), p-value = 0.0007 after adjustment for confounders, see **Table 4**. The most frequent

201 neurodevelopmental disorder was developmental delay (4.5%, n = 22/485) followed by autism
202 spectrum disorders (ASD, 2.3%, n = 11/485) and attention deficit/hyperactivity disorders (ADHD,
203 1.2%, n = 6/485). The risk of developmental delay was higher in the cataract group than in the
204 control group, OR = 2.66, 95% CI (1.45 - 4.90), p-value = 0.0017, whereas we did not find a
205 significantly increased risk of ASD (OR = 1.62 95%CI (0.78 – 3.39), p-value = 0.192) or ADHD
206 (OR = 1.31, 95% CI (0.50 – 3.46), p-value = 0.581) in the children with cataract compared to
207 children without cataract.

208

209 Children with cataract also had an overall higher risk of having a mental disorder of not primary
210 neuro-developmental origin; in crude analyses (OR = 1.73, 95% CI (1.04 - 2.89), p-value = 0.036),
211 and in analyses adjusting for several confounders (OR = 1.69, 95% CI (1.0 - 2.87), p-value =
212 0.052). The risk of anxiety disorders was quadrupled in children with cataract (2.1%, n = 10/485),
213 OR = 4.10, 95% CI (1.90 - 8.84), p-value= 0.0003. In addition, an increased risk of eating disorders
214 was observed, OR = 4.19, 95% CI (0.72 - 24.44), p-value = 0.111.

215

216 **DISCUSSION**

217 This is the first study to explore a broad range of mental disorders in children with cataract
218 comparing the incidence in these children with the age- and sex-matched background population
219 based on diagnoses made by medical doctors. We used nationwide population registries to account
220 for the potential influences of a range of confounders including somatic comorbidities, the socio-
221 economic status of the family, and parental mental disorders. The risk of anxiety disorders was
222 increased more than fourfold, and the risk of neurodevelopmental delay was increased twofold in
223 children with cataract. The risk was highest among children diagnosed with cataract before 3 years

224 of age. In addition, the risk of any mental disorders was highest in the group of children who had
225 cataract in combination with systemic disease.

226

227 Whereas the increased risk of neurodevelopmental disorders in children with cataract was expected,
228 the fourfold risk of anxiety disorders was a remarkable finding but in line with finding from studies
229 of other chronic diseases in childhood, e.g. epilepsy ⁶ and diabetes type I ⁷. Children with cataract
230 are exposed to repeated examinations under anesthesia, hospital appointments and restraining, e.g.
231 with amblyopia patching ^{11,41} and they may suffer from visual impairment associated with cataract,
232 which influence social interactions, e.g. response to facial expressions ^{8,42,43}. It is already well
233 known that blind and visually impaired young people face an increased risk of depression and
234 anxiety ⁴⁴⁻⁴⁶. Importantly, our incidence of anxiety disorders may be underestimated as anxiety
235 often presents during adolescence ²² and we only included children up to the age of 10 years.

236

237 For comparison, only a limited number of studies have been published in which mental health
238 problems and disorders have been investigated in children with cataract. A Chinese study of 119 3-
239 8-years-old children with cataract used the Conners Parent Rating Scale and found a doubling in the
240 incidence of conduct problems, learning problems, psychosomatic, impulsiveness/hyperactivity, and
241 anxiety problems compared to 143 children without cataract ²⁶. This study is in consistent with our
242 findings based on diagnoses made by medical doctors using the ICD-10 criteria. In a previously
243 study of Danish children with cataract, we found that the subjective visual function related to
244 academic achievements was rated as poor ⁴⁷ which is in line with the parental assessment of
245 learning problems in the Chinese study. In contrast to the Chinese study, we did not find a
246 statistically significant higher incidence of impulsiveness/hyperactivity related diagnoses. Our study
247 was based on mental disorders diagnosed in a hospital setting where only the most seriously

248 affected children exceed the threshold for referral. A study of 41 British 5-19 years aged children
249 with congenital cataracts found a lower psychosocial health level in these children compared to
250 children with other systemic diseases ²⁷ which supports our findings.

251
252 Severe somatic disease may be directly linked to both development of cataract and presence of
253 mental disease ^{14–20}. We found a doubling of the risk of unspecific developmental delay disorder in
254 children with cataract also in analyses adjusting for diseases with known neuro-developmental
255 comorbidity and diseases in which the treatment involves potential adverse neuro-developmental
256 exposures ^{33,34,37–39}.

257
258 Our findings highlight the psychological burden on children living with chronic somatic disease. In
259 some situations, the psychological load may influence treatment outcome, as shown in studies of
260 children and adolescents with Type 1 Diabetes Mellitus ^{32,48,49}. Management of childhood cataract
261 often include patching of the better seeing eye to improve vision in the poorer seeing eye
262 (amblyopia treatment) ¹³. Compliance is essential for visual outcome in amblyopia treatment.
263 Treatment of amblyopia can be associated with a high degree of distress, increased stigma and
264 logistical problems for children and parents ^{50–52}. We were not able to discern whether amblyopia
265 therapy contributed to the increased risk of anxiety in our cataract population, but attention should
266 be paid to the mental vulnerability of the child and treatment adjusted based on a holistic
267 perspective.

268

269 *Strengths and limitations*

270 The major strength of this population-based registry study is its nationwide character with no
271 attrition bias. Mental disorders were diagnosed by medical doctors in hospital settings in accordance

272 with ICD-10 diagnostic criteria. To ensure that the interaction of other confounders was minimized,
273 we adjusted for relevant variables such as geographical birth origin, disease confounders, parental
274 socio-economic status and parental mental disorders. Conversely, this study also has some
275 limitations. There may be risk of selection bias since children seen and treated by child psychiatrists
276 working in private practice are not always reported into NPR, which may result in an
277 underestimated true incidence of mental disorders. On the contrary, referral bias must be
278 considered, because children with cataract are treated in hospital settings and therefore have higher
279 odds of being referred and diagnosed with a mental disorder. On the other hand, some families may
280 also decline referral as they already have to deal with cataract, or they may interpret the child's
281 behavior as related to the eye disease.

282

283 **CONCLUSION & PERSPECTIVES**

284 In this nationally representative sample, we found a markedly higher incidence of developmental
285 disorders and anxiety among children with cataract compared to the background pediatric
286 population. The associations between childhood cataract and mental disorders remained significant
287 even after adjusting for relevant factors such as disease confounders and parental socio-economic
288 status and psychiatric disease. Increased awareness of the mental health burden associated with
289 childhood cataract is important. Routine screening of mental health, e.g. using the Strengths and
290 Difficulties Questionnaire (SDQ) ⁵³, could guide the support to these children taking into account
291 their mental health as well as overall quality of life, in the visual and optical rehabilitation.

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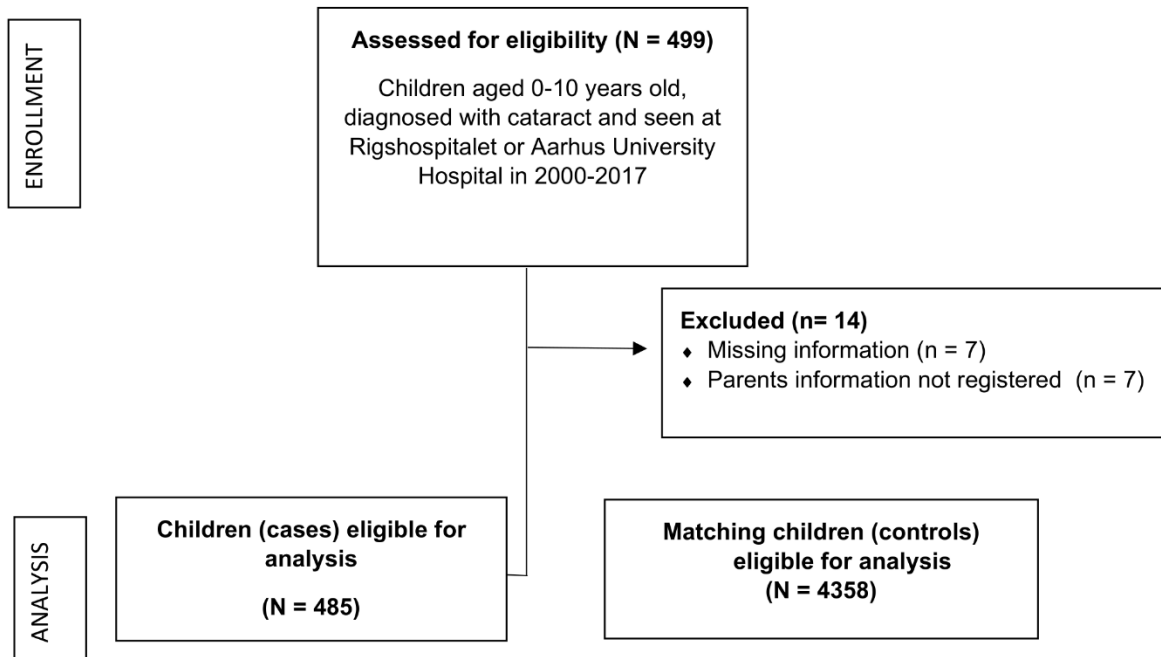
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Flow diagram



420
421 **Figure 1:** Flow chart showing inclusion and exclusion of the study population.
422

423 **Table 1: Characteristics of the study population**

	Children with cataract	Children without cataract	p-value ^a	Missings
	N = 485	N = 4358		
Child's birth place, <i>n</i> (%)			0.001*	0
Denmark	428 (88.2)	4030 (92.5)		
Outside Denmark	57 (11.8)	328 (7.5)		
Disease confounders ^b			< 0.00001*	0
Yes	23 (4.7)	12 (0.3)		
No	462 (95.3)	4346 (99.7)		
Parental civil status, <i>n</i> (%)			0.598	42
Single	52 (10.7)	519 (11.9)		
Not single	419 (86.4)	3811 (87.4)		
Parental income ^c (DKK), <i>mean</i> (SD)	221230 (101047)	233355 (160947)	0.021*	28
Parental work status, <i>n</i> (%)			< 0.00001*	3
Outside work-force	58 (12.0)	253 (5.8)		
One or both parent(s) at work	425 (87.6)	4104 (94.2)		
Parental mental disorders			0.192	0
None	398 (82.1)	3467 (79.6)		
One or both parent(s)	87 (17.9)	891 (20.4)		

^a P-value: Likelihood ratio test in a conditional logistic regression.

^b Disease confounders: Congenital syndrome: microcephaly, megalencephaly, Smith-Lemi-Opitz' syndrome, trisomy 21, Down's syndrome, partial autosomal trisomy, Cytomegalovirus infection, interstitial lung disease, degenerative disease of the nervous system, congenital rubella infection, cancer in brain or meninges.

^c 1 Danish Krone corresponds to 0.13 Euro.

425 **Table 2: Background characteristics of children with cataract (N=485)**

	Isolated cataract	Cataract and severe somatic diseases	p-value ^a
	N= 407	N=78	
Sex Girls/Boys (%)	197/210 (48.4/51.6)	45/33 (57.7/42.3)	0.168
Age at cataract diagnosis (year) Median (IQR)	1.4 (0.19 - 5.1)	0.6 (0.14 - 4.4)	0.344
Age at surgery (year) Median (IQR)	2.1 (0.3 - 4.9)	0.6 (0.2 - 4.0)	0.243
Operated/non-operated, n (%)	232/175 (57.0/43.0)	50/28 (64.1/35.9)	0.299
Any mental disorders ^b, n (%)	34 (8.4)	17 (21.8)	0.0008*
Neurodevelopmental disorder, n (%)	28 (5.8)	12 (15.4)	0.023*
Other mental disorders, n (%)	11 (2.3)	7 (9.0)	0.018*

^a P-value: Pearson's chi-squared test for categorical variables, t-test for continuous variables.

^b Each child could be diagnosed with different mental disorders. The number of children with neurodevelopmental and other mental disorders does therefore not sum up to the number of children with any mental disorders.

426

427 **Table 3: Incidence of mental disorders among children with and without cataract**

	Children with cataract	Children without cataract	Unadjusted		Adjusted	
	N = 485	N = 4358	OR (95% CI)	p-value	OR (95% CI)	p-value
	n/N (%)					
Any mental disorders	51/485 (10.5)	225/4358 (5.2)	2.16 (1.57 - 2.78)	< 0.00001*	1.83 (1.28 - 2.63)	0.0009*
0-3 years ^a	35/327 (10.7)	118/2912 (4.1)	2.84 (1.91 - 4.22)	< 0.00001*	2.36 (1.53 - 3.64)	0.0001*
4-10 years ^a	16/158 (10.1)	107/1446 (7.4)	1.41 (0.81 - 2.45)	0.224	1.24 (0.66 - 2.30)	0.504
Neurodevelopmental disorders	40/485 (8.2)	148/4358 (3.4)	2.56 (1.78 - 3.67)	< 0.00001*	2.05 (1.35 - 3.11)	0.0007*
0-3 years ^a	28/327 (8.6)	77/2912 (2.6)	3.45 (2.20 - 5.40)	< 0.00001*	2.64 (1.59 - 4.4)	0.0002*
4-10 years ^a	12/158 (7.6)	71/1446 (4.9)	1.59 (0.84 - 3.00)	0.152	1.37 (0.68 - 2.76)	0.376
Other mental disorders	18/485 (3.7)	95/4358 (2.2)	1.73 (1.04 - 2.89)	0.036*	1.69 (1.0 - 2.87)	0.052
0-3 years ^a	12/327 (3.7)	50/2912 (1.7)	2.18 (1.15 - 4.14)	0.017*	2.22 (1.18 - 4.18)	0.014*
4-10 years ^a	6/158 (3.8)	45/1446 (3.1)	1.23 (0.52 - 2.98)	0.642	1.12 (0.40 - 2.85)	0.814

^a Age at cataract onset

428

429 **Table 4: Incidence of the most prevalent mental disorders among children with and without cataract**

	Children with cataract	Children without cataract	Unadjusted		Adjusted	
	N = 485	N = 4358	OR (95%CI)	p-value	OR (95%CI)	p-value
	n/N (%)					
Neurodevelopmental disorders	40/485 (8.2)	148/4358 (3.4)	2.56 (1.78 - 3.67)	< 0.00001*	2.05 (1.35 - 3.11)	0.0007*
Autism spectrum disorders	11/485 (2.3)	55/4358 (1.3)	1.82 (0.94 - 3.49)	0.074	1.62 (0.78 - 3.39)	0.192
ADHD	6/485 (1.2)	43/4358 (1.0)	1.26 (0.53 - 2.97)	0.602	1.31 (0.50 - 3.46)	0.581
Unspecific developmental delay	22/485 (4.5)	54/4358 (1.2)	3.79 (2.29 - 6.28)	< 0.00001*	2.66 (1.45 - 4.90)	0.0017*
Other mental disorders^a	18/485 (3.7)	95/4358 (2.2)	1.73 (1.04 - 2.89)	0.036*	1.69 (1.0 - 2.87)	0.052
Anxiety disorders	10/485 (2.1)	23/4358 (0.5)	3.97 (1.88 - 8.39)	0.0003*	4.10 (1.90 - 8.84)	0.0003*

Notably, a child could be diagnosed with two or more different mental disorders but counts once in the total number.

^a Increased risk of eating disorders was found, but the number of children were too few to allow for publication according to rules of Statistics Denmark.

430

431 **Supplementary Table 1: Description of variables used in the study**

<i>Name</i>	<i>Registry</i>	<i>Description</i>	<i>Full name of registry</i>
Sex	BEF registry	Gender of the included population extracted from BEF registry (population registry)	BEF = Befolkning
Age at cataract onset	NPR + BEF registry	The date of cataract diagnosis was extracted from NPR (The National Patient Registry) and calculated based on birth date in BEF registry (population registry)	NPR = The National Patient Registry+ BEF = Befolkning
Geographical birth origin	BEF registry	Geographical birth origin is the place where the child was born extracted from BEF registry (population registry)	BEF = Befolkning
Children's mental disorders	PSYK_DIAG_NPR	Children's mental disorders diagnosed at public hospitals extracted from NPR (The National Patient Registry)	Psyk_diag_NPR= The National Patient Registry with focus on psychiatric diagnoses
Children's systemic diseases	LPR_DIAG	Children's systemic disease diagnosed at public hospitals extracted from NPR (The National Patient Registry)	NPR = The National Patient Registry
Parental civil status	BEF registry	Parental civil status extracted from BEF registry (population registry)	BEF = Befolkning
Parental income	IND registry	Parental income extracted from IND registry (income registry)	IND = Indkomst
Parental work status	AKM registry	Information about parental work status extracted from AKM registry (work classification module)	AKM = Arbejdsklassifikationsmodul
Parental mental disorders	PSYK_DIAG_NPR	Parental mental disorders diagnosed at public hospitals extracted from NPR (The National Patient Registry)	Psyk_diag_NPR= The National Patient Registry with focus on psychiatric diagnoses

432

433 **Supplementary Table 2: Severe somatic diagnosis categories in children with cataract in the study**

Categories	ICD-10 Diagnoses	Number ^a
Congenital infections or conditions with immunosuppression	DB259 ^b (congenital cytomegalovirus infection) + DP350-351 ^b (congenital rubella syndrome + congenital cytomegalovirus infection) + DD849 (immunodeficiency) + DZ948C (bone marrow transplant) + DZ948C1 (bone marrow transplanted with allogeneic bone marrow)	8
Cancer in head and neck region	DC119 (nasopharyngeal cancer) + DC139 (cancer in hypopharynx) + DC301 (cancer in middle ear) + DC490 (cancer of connective tissue and soft tissue in head, face or neck) + DC694 ^b (cancer in ciliary body) + DD353 (benign tumor in craniopharyngeal duct) + DC720* (cancer in spinal cord) + DC760 ^b (cancer in head / face / neck without further spec. localization)	9
Blood related diseases	DD689 (coagulation disorder) + DD693 (idiopathic thrombocytopenic purpura) + DD699 (bleeding tendency) + DD709C (congenital neutropenia) + DC910 (acute lymphoblastic leukemia)	6
Endocrine and metabolic diseases	DE039 (hypothyroidism) + DE230 (decreased hormone secretion from pituitary gland) + DE740 (glycogen storage disorder) + DE740J (glycogenosis type I) + DE742 (disorder in galactose metabolism) + DE742B (galactosemia) + DE835 (disorder in calcium metabolism)	10
Neurological diseases	DG319 ^b (degenerative disease of the nervous system) + DG400 (focal idiopathic epilepsy) + DG402-404 (focal epilepsy with complex / gen. tonic-clonic seizure (GTCS)+generalized idiopathic epilepsy + epileptic encephalopathy) + DG409-10 (epilepsy + generalized tonic-clonic status epilepticus) + DG412 (non-convulsive complex partial status epilepticus) + DG418-419 (another form for status epilepticus + status epilepticus)	33
Cerebral palsy or plegia	DG800-803 (spastic tetraplegic cerebral palsy + spastic diplegic cerebral palsy + spastic hemiplegic cerebral palsy + dyskinetic cerebral palsy) + DG808 -809 (another form for cerebral palsy + mixed syndrome with cerebral palsy + cerebral palsy) + DG819 (hemiplegia) + DG824 (spastic tetraplegia)	31
Brain related diseases	DG919 (hydrocephalus) + DG939 (brain disease) + DG942 (hydrocephalus of other disease classified elsewhere) + DQ750 (Craniosynostosis) + DQ750D (trigonocephaly) + DQ753 (macrocephaly) + DI639 (stroke in the brain) + DQ029 ^b (microcephaly) + DQ039-40 (congenital hydrocephalus + congenital malformation in corpus callosum) + DQ045 ^b (megalencephaly)	13
Cardiovascular diseases	DI420 (dilated cardiomyopathy) + DQ210-13 (ventricular septal defect + atrial septal defect + atrioventricular septal defect + tetralogy of Steno-Fallot) + DQ221 (congenital pulmonary valve stenosis) + DQ249-50 (congenital heart malformation + persistent ductus arteriosus)	17
Lung diseases	DJ841 ^b (second interstitial lung disease with fibrosis) + DJ848-849 ^b (another intersitial lung disease with fibrosis + intersitial lung disease) + DE840 (cystic fibrosis with lung manifestations) + DE849 (cystisc fibrosis)	9
Gastrointestinal diseases	DK316 (fistula from the stomach or duodenum) + DK 316B (gastrojejunal fistula) + DK316E (gastrointestinal fistula) + DQ410-11 (agenesis, atresia or congenital stenosis of duodenum + agenesis, atresia or congenital stenosis of jejunum) + DQ419 (agenesis, atresia or congenital stenosis of small intestine) + DQ438 (second congenital malformation of the intestinal tract) + DQ619-20 (cystic kidney disease + congenital hydronephrosis) + DQ649 (congenital malformation of urinary tract)	11
Rheumatic diseases	DM029 (reactive arthritis) + DM069 (rheumatoid arthritis) + DM080 (juvenile rheumatoid arthritis) + DM082-84 (juvenile arthritis with extra-articular manifestations + juvenile seronegative polyarthritis + pauciarticular juvenile arthritis) + DM089-90 (juvenile arthritis + psoriatic arthritis in children) + DM131 (monoarthritis)	17
Syndromes	DQ773 (chondrodysplasia punctata) + DQ788B (chondrodystrophia punctata) + DQ870 (syndromes with congenital malformations predominantly in face region) + DQ870H (Oro-Facial-Digital syndrome) + DQ870M (Waardenburg syndrome) + DQ871 (congenital malformation syndrome with dwarfism) + DQ871E (Prader-Willis syndrome) + DQ871I ^b (Smith-Lemli-Opitz' syndrome) + DQ873-75 (syndromes with congenital malformations with early height growth + Marfan syndrome + other congenital malformation syndrome with skeletal changes) + DQ878 (second congenital malformation syndrome) + DQ897A (multiple congenital malformations) + DQ899 (congenital malformation) + DQ900 ^b (trisomy 21) + DQ909 ^b (Down's syndrome) + DQ928 ^b (partial autosomal trisomy) + DQ999 (chromosome anomaly)	36

^a A child could be diagnosed with different systemic diseases and therefore could figure in several systemic diagnoses.

^b Disease confounders.

435 **Supplementary Table 3:**

Socio-economic variable Definitions and classifications

The child's birth origin	Children born inside or outside Denmark.
Income	Household financial resources based on the disposable personal income (DPI)/adult over the full calendar year. The DPI is the amount of money that a household has available for saving and spending after it has been charged for taxes. For cohabiting parents: Average DPI in the household was used. For separated parents and parents living apart: The highest DPI was used.
Employment status	Family was defined as unemployed if both parents were registered as unemployed for up to six months, received unemployment benefits, had retired early or if they were students.
Civil status	The civil status was divided into two groups: single and not single (married, cohabiting couple & multi-family residential). Multi-family residential is when more than one family live at the same address.

436

437